

# Math555 Midterm 1

**Note:** Use other papers to answer the problems. Remember to write down your **name** and your **student ID #**.

1. [4pt] Use Newton's binomial theorem to prove that

$$(1 - 4x)^{-1/2} = \sum_{n \geq 0} \binom{2n}{n} x^n.$$

Then use it to prove that, for every positive integer  $n$ ,

$$\sum_{i=0}^n \binom{2i}{i} \binom{2(n-i)}{n-i} = 4^n.$$

**Solution.** By Newton's binomial theorem

$$(1 - 4x)^{-1/2} = \sum_{n \geq 0} \binom{-1/2}{n} (-4)^n x^n.$$

We may compute

$$\begin{aligned} \binom{-1/2}{n} (-4)^n &= (-1)^n 2^{2n} \frac{(-\frac{1}{2})(-\frac{3}{2}) \cdots (-\frac{2n-1}{2})}{n!} \\ &= 2^n \cdot \frac{(1)(3) \cdots (2n-1)}{n!} \\ &= \frac{(2)(4) \cdots (2n)}{n!} \cdot \frac{(1)(3) \cdots (2n-1)}{n!} \\ &= \binom{2n}{n}. \end{aligned}$$

Consider

$$\begin{aligned} (1 - 4x)^{-1} &= \left( \sum_{n \geq 0} \binom{2n}{n} x^n \right) \left( \sum_{n \geq 0} \binom{2n}{n} x^n \right) \\ &= \left( \sum_{i \geq 0} \binom{2i}{i} x^i \right) \left( \sum_{j \geq 0} \binom{2j}{j} x^j \right). \end{aligned}$$

To see the desired equality, compare the coefficients of  $x^n$  on both sides.

2. [4pt] Prove that if  $j \geq 2$ , then

$$\ln j \geq \int_{j-1/2}^{j+1/2} \ln x \, dx,$$

and use it to prove that

$$n! \geq \sqrt{\frac{8e^2 n}{27}} \left(\frac{n}{e}\right)^n.$$

**Solution.** Since  $\ln x$  is concave down, it is below its tangent lines. The tangent line of  $\ln x$  at  $j$  is  $y = \frac{1}{j}(x - j) + \ln(j)$ , so

$$\ln j = \int_{j-1/2}^{j+1/2} \frac{1}{j}(x - j) + \ln j \, dx \geq \int_{j-1/2}^{j+1/2} \ln x \, dx$$

for  $j > \frac{1}{2}$ . Therefore,

$$\begin{aligned} \ln(n!) &= \sum_{j=2}^n \ln j \geq \int_{3/2}^{n+1/2} \ln x \, dx \\ &= (n + 0.5) \ln(n + 0.5) - 1.5 \ln 1.5 - (n - 1). \end{aligned}$$

So

$$n! \geq \frac{(n + 0.5)^{n+0.5}}{1.5^{1.5} e^{n-1}} \geq \sqrt{\frac{8e^2 n}{27}} \left(\frac{n}{e}\right)^n.$$

3. [4pt] Let  $p_k(n)$  denote the number of partitions of integer  $n$  into  $k$  parts. Fix an integer  $t \geq 0$ . Show that as  $n \rightarrow \infty$ ,  $p_{n-t}(n)$  becomes eventually constant value  $f(t)$ . What is the  $f(t)$ ? What is the least value of  $n$  for which  $p_{n-t}(n) = f(t)$ ?

[Recall that  $p_k(n) = p_{k-1}(n-1) + p_k(n-k)$ .]

**Solution.** By the recurrence relation,

$$p_{n-t}(n) = p_{n-1-t}(n-1) + p_{n-t}(t).$$

This means  $p_{n-t}(n)$  is an increasing sequence in terms of  $n$ . Observe that

$$p_{n-t}(t) \begin{cases} \geq 0 & \text{if } n-t \leq t, \\ = 0 & \text{if } n-t > t. \end{cases}$$

Therefore, for fixed  $t$ , the sequence  $p_{n-t}(n)$  is strictly increasing until  $n = 2t$  and  $p_{n-t}(n) = f(t)$  for all  $n \geq 2t$ . Here

$$f(t) = p_t(2t) = \sum_{k=1}^t p_k(t).$$

4. [4pt] Let

$$x^{(n)} = (x + n - 1)_n = x(x + 1) \cdots (x + n - 1)$$

be the  $n$ -th *rising factorial* of  $x$ . Recall that

$$\sum_{k \geq 0} c(n, k) x^k = x^{(n)},$$

so  $c(n, k)$  are the coefficients that change the basis from  $\mathcal{B}_1 = \{x^{(0)}, x^{(1)}, \dots\}$  to  $\mathcal{B}_2 = \{x^0, x^1, \dots\}$ . Find the coefficients that change the basis from  $\mathcal{B}_2$  to  $\mathcal{B}_1$ . In other words, find the coefficients  $A_{n, k}$  such that

$$\sum_{k \geq 0} A_{n, k} x^{(k)} = x^n.$$

[Hint: You may use the Stirling numbers.]

**Solution.** Recall that

$$\sum_{k \geq 0} S(n, k) (x)_k = x^n.$$

Substitute  $x$  by  $-x$  and get

$$\sum_{k \geq 0} S(n, k) (-x)_k = (-x)^n = (-1)^n x^n.$$

Note that

$$\begin{aligned} (-x)_k &= (-x)(-x-1) \cdots (-x-k+1) \\ &= (-1)^k (x)(x+1) \cdots (x+k-1) \\ &= (-1)^k x^{(k)}. \end{aligned}$$

Therefore,

$$\sum_{k \geq 0} (-1)^{n-k} S(n, k) x^{(k)} = x^n,$$

and  $A_{n, k} = (-1)^{n-k} S(n, k)$  is the answer.

5. [4pt] Let  $N$  and  $X$  be two sets with  $|N| = |X| = 3$ . Fill in the following table by the number of functions  $f : N \rightarrow X$  with the given conditions.

$N$	$X$	Any $f$	injective $f$	surjective $f$
dist	dist	(i)	(ii)	(iii)
indist	dist	(iv)	(v)	(vi)
dist	indist	(vii)	(viii)	(ix)
indist	indist	(x)	(xi)	(xii)

**Solution.** Use the formulas given in the lecture notes.

$N$	$X$	Any $f$	injective $f$	surjective $f$
dist	dist	$3^3 = 27$	$(3)_3 = 6$	$3!S(3,3) = 6$
indist	dist	$\binom{3}{3} = 10$	$\binom{3}{3} = 1$	$\binom{3}{0} = 1$
dist	indist	$S(3,0) + S(3,1) + S(3,2) + S(3,3) = 5$	1	$S(3,3) = 1$
indist	indist	$p_0(3) + p_1(3) + p_2(3) + p_3(3) = 3$	1	$p_3(3) = 1$