

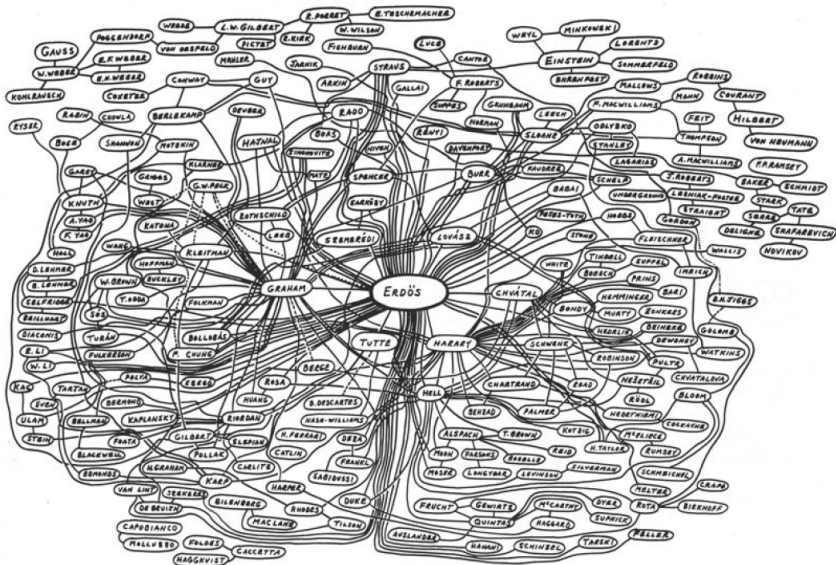
General spectral graph theory: The inverse eigenvalue problem of a graph

Jephian C.-H. Lin

Department of Mathematics and Statistics, University of Victoria

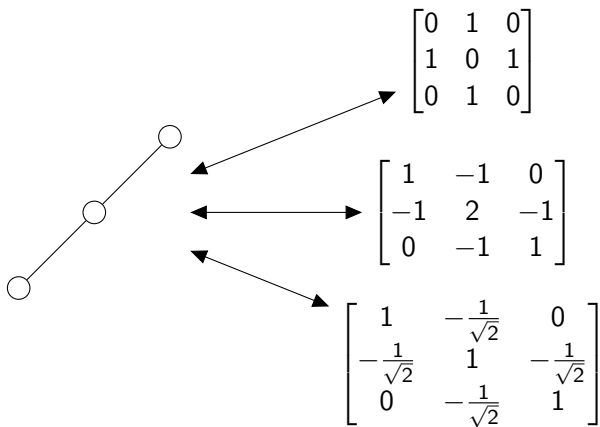
Nov 18, 2017

Combinatorial Potlatch, Victoria, BC



Sources: Easley and Kleinberg (2010)

Spectral graph theory



Dragoš Cvetković
Serbian Academy
of Sciences and Arts



Sources: Cvetković's website

Cvetković's inertia bound

The **inertia** of a matrix A is $(n_+(A), n_-(A), n_0(A))$, which are the number of positive, negative, and zero eigenvalues of A , respectively.

Theorem (Cvetković 1971)

Let G be a graph and A its adjacency matrix. Then

$$\alpha(G) \leq \min\{n - n_+(A), n - n_-(A)\},$$

where $\alpha(G)$ is the independence number.

Chris Godsil
University of Waterloo



Sources: FreeTechBooks

Godsil's Lemma

Let G be a graph. The **path cover number** $P(G)$ is the minimum number of disjoint induced paths that can cover G .

Theorem (Godsil 1984)

Let G be a tree with adjacency matrix A . Then

$$m_\lambda(A) \leq P(G)$$

for any eigenvalue λ of A .

General spectral graph theory

Given a graph G on n vertices, consider the family $\mathcal{S}(G)$ of $n \times n$ real symmetric matrices M with

$$\begin{cases} M_{i,j} = 0 & \text{if } i \neq j \text{ and } \{i,j\} \text{ is not an edge,} \\ M_{i,j} \neq 0 & \text{if } i \neq j \text{ and } \{i,j\} \text{ is an edge,} \\ M_{i,j} \in \mathbb{R} & \text{if } i = j. \end{cases}$$

Thus, $\mathcal{S}(G)$ includes the adjacency matrix, the Laplacian matrix, and so on.



The general version of Cvetković's inertia bound

Theorem

Let G be a graph and $A \in \mathcal{S}(G)$ with zero diagonal entries. Then

$$\alpha(G) \leq \min\{n - n_+(A), n - n_-(A)\},$$

where $\alpha(G)$ is the independence number.

- ▶ Sinkovic (2017) proved Paley 17 is an example where the inertia bound is not tight. (So far, all known constructions are related to Paley 17.)
- ▶ He is going to talk about it at the Joint Meeting 2018 in San Diego!

The general version of Cvetković's inertia bound

Theorem

Let G be a graph and $A \in \mathcal{S}(G)$ with zero diagonal entries. Then

$$\alpha(G) \leq \min\{n - n_+(A), n - n_-(A)\},$$

where $\alpha(G)$ is the independence number.

- ▶ Sinkovic (2017) proved Paley 17 is an example where the inertia bound is not tight. (So far, all known constructions are related to Paley 17.)
- ▶ He is going to talk about it at the Joint Meeting 2018 in San Diego!

The general version of Godsil's lemma

Theorem (Johnson and Leal Duarte 1999)

Let G be a tree and $A \in \mathcal{S}(G)$. Then

$$m_\lambda(A) \leq P(G)$$

for any eigenvalue λ of A .

- ▶ Indeed, for any tree, there is a matrix A with an eigenvalue λ such that $m_\lambda(A) = P(G)$.

The general version of Godsil's lemma

Theorem (Johnson and Leal Duarte 1999)

Let G be a tree and $A \in \mathcal{S}(G)$. Then

$$m_\lambda(A) \leq P(G)$$

for any eigenvalue λ of A .

- ▶ Indeed, for any tree, there is a matrix A with an eigenvalue λ such that $m_\lambda(A) = P(G)$.

Domination number

Let G be a graph. The **domination number** $\gamma(G)$ is the minimum cardinality of a set X such that

$$\bigcup_{x \in X} N_G[x] = V(G).$$

The **total domination number** $\gamma^t(G)$ is the minimum cardinality of a set X such that

$$\bigcup_{x \in X} N_G(x) = V(G).$$

For example, $\gamma(P_3) = 1$ but $\gamma^t(P_3) = 2$.

Greedy algorithm

- ▶ Greedy algorithm follows the problem solving heuristic of **making the locally optimal choice** at each stage with the hope of finding a global optimum.
- ▶ For solving a maze, you may keep going straight at fork. But it might lead you to a dead end.
- ▶ For a coloring problem, you may keep using the smallest free number to color the next vertex, showing $\chi(G) \leq \Delta(G) + 1$.
- ▶ Greedy algorithm for domination number: When X are chosen and not yet dominate the whole graph, pick a vertex v such that

$$N_G[v] \setminus \bigcup_{x \in X} N_G[x] \neq \emptyset.$$

Greedy algorithm

- ▶ Greedy algorithm follows the problem solving heuristic of **making the locally optimal choice** at each stage with the hope of finding a global optimum.
- ▶ For solving a maze, you may keep going straight at fork. But it might lead you to a dead end.
- ▶ For a coloring problem, you may keep using the smallest free number to color the next vertex, showing $\chi(G) \leq \Delta(G) + 1$.
- ▶ Greedy algorithm for domination number: When X are chosen and not yet dominate the whole graph, pick a vertex v such that

$$N_G[v] \setminus \bigcup_{x \in X} N_G[x] \neq \emptyset.$$

Greedy algorithm

- ▶ Greedy algorithm follows the problem solving heuristic of **making the locally optimal choice** at each stage with the hope of finding a global optimum.
- ▶ For solving a maze, you may keep going straight at fork. But it might lead you to a dead end.
- ▶ For a coloring problem, you may keep using the smallest free number to color the next vertex, showing $\chi(G) \leq \Delta(G) + 1$.
- ▶ Greedy algorithm for domination number: When X are chosen and not yet dominate the whole graph, pick a vertex v such that

$$N_G[v] \setminus \bigcup_{x \in X} N_G[x] \neq \emptyset.$$

Greedy algorithm

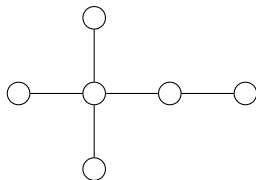
- ▶ Greedy algorithm follows the problem solving heuristic of **making the locally optimal choice** at each stage with the hope of finding a global optimum.
- ▶ For solving a maze, you may keep going straight at fork. But it might lead you to a dead end.
- ▶ For a coloring problem, you may keep using the smallest free number to color the next vertex, showing $\chi(G) \leq \Delta(G) + 1$.
- ▶ Greedy algorithm for domination number: When X are chosen and not yet dominate the whole graph, pick a vertex v such that

$$N_G[v] \setminus \bigcup_{x \in X} N_G[x] \neq \emptyset.$$

Grundy domination number

The **Grundy domination number** $\gamma_{\text{gr}}(G)$ is the length of the longest sequence (v_1, v_2, \dots, v_k) such that

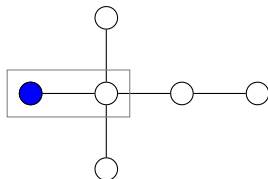
$$N_G[v_i] \setminus \bigcup_{j=1}^{i-1} N_G[v_j] \neq \emptyset.$$



Grundy domination number

The **Grundy domination number** $\gamma_{\text{gr}}(G)$ is the length of the longest sequence (v_1, v_2, \dots, v_k) such that

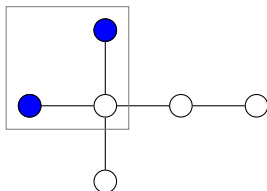
$$N_G[v_i] \setminus \bigcup_{j=1}^{i-1} N_G[v_j] \neq \emptyset.$$



Grundy domination number

The **Grundy domination number** $\gamma_{\text{gr}}(G)$ is the length of the longest sequence (v_1, v_2, \dots, v_k) such that

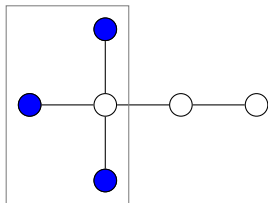
$$N_G[v_i] \setminus \bigcup_{j=1}^{i-1} N_G[v_j] \neq \emptyset.$$



Grundy domination number

The **Grundy domination number** $\gamma_{\text{gr}}(G)$ is the length of the longest sequence (v_1, v_2, \dots, v_k) such that

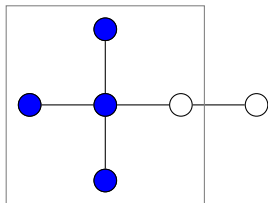
$$N_G[v_i] \setminus \bigcup_{j=1}^{i-1} N_G[v_j] \neq \emptyset.$$



Grundy domination number

The **Grundy domination number** $\gamma_{\text{gr}}(G)$ is the length of the longest sequence (v_1, v_2, \dots, v_k) such that

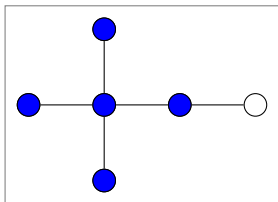
$$N_G[v_i] \setminus \bigcup_{j=1}^{i-1} N_G[v_j] \neq \emptyset.$$



Grundy domination number

The **Grundy domination number** $\gamma_{\text{gr}}(G)$ is the length of the longest sequence (v_1, v_2, \dots, v_k) such that

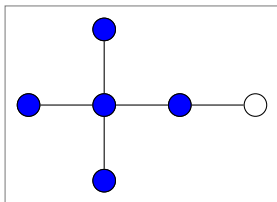
$$N_G[v_i] \setminus \bigcup_{j=1}^{i-1} N_G[v_j] \neq \emptyset.$$



Grundy domination number

The **Grundy domination number** $\gamma_{\text{gr}}(G)$ is the length of the longest sequence (v_1, v_2, \dots, v_k) such that

$$N_G[v_i] \setminus \bigcup_{j=1}^{i-1} N_G[v_j] \neq \emptyset.$$

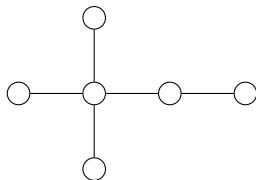


So $\gamma_{\text{gr}}(G) = 5$.

Grundy total domination number

The **Grundy total domination number** $\gamma_{\text{gr}}^t(G)$ is the length of the longest sequence (v_1, v_2, \dots, v_k) such that

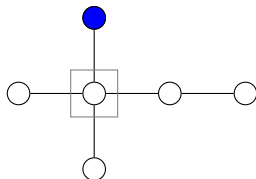
$$N_G(v_i) \setminus \bigcup_{j=1}^{i-1} N_G(v_j) \neq \emptyset.$$



Grundy total domination number

The **Grundy total domination number** $\gamma_{\text{gr}}^t(G)$ is the length of the longest sequence (v_1, v_2, \dots, v_k) such that

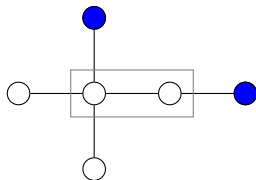
$$N_G(v_i) \setminus \bigcup_{j=1}^{i-1} N_G(v_j) \neq \emptyset.$$



Grundy total domination number

The **Grundy total domination number** $\gamma_{\text{gr}}^t(G)$ is the length of the longest sequence (v_1, v_2, \dots, v_k) such that

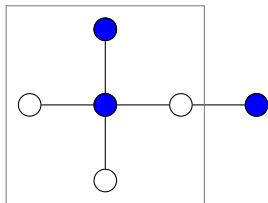
$$N_G(v_i) \setminus \bigcup_{j=1}^{i-1} N_G(v_j) \neq \emptyset.$$



Grundy total domination number

The **Grundy total domination number** $\gamma_{\text{gr}}^t(G)$ is the length of the longest sequence (v_1, v_2, \dots, v_k) such that

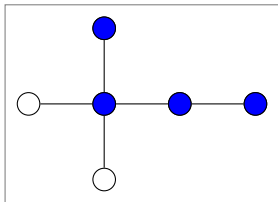
$$N_G(v_i) \setminus \bigcup_{j=1}^{i-1} N_G(v_j) \neq \emptyset.$$



Grundy total domination number

The **Grundy total domination number** $\gamma_{\text{gr}}^t(G)$ is the length of the longest sequence (v_1, v_2, \dots, v_k) such that

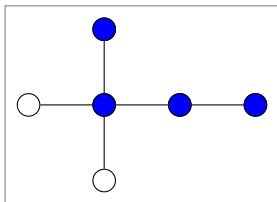
$$N_G(v_i) \setminus \bigcup_{j=1}^{i-1} N_G(v_j) \neq \emptyset.$$



Grundy total domination number

The **Grundy total domination number** $\gamma_{\text{gr}}^t(G)$ is the length of the longest sequence (v_1, v_2, \dots, v_k) such that

$$N_G(v_i) \setminus \bigcup_{j=1}^{i-1} N_G(v_j) \neq \emptyset.$$



So $\gamma_{\text{gr}}^t(G) = 4$.

Rank bound

Theorem (L 2017)

Let G be a graph. Then

$$\gamma_{\text{gr}}(G) \leq \text{rank}(A)$$

for any $A \in S(G)$ with diagonal entries all nonzero; and

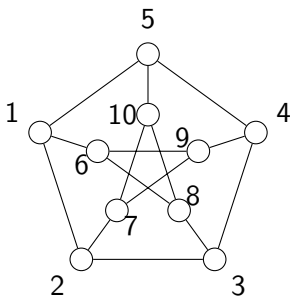
$$\gamma_{\text{gr}}^t(G) \leq \text{rank}(A)$$

for any $A \in S(G)$ with zero diagonal.

Let P be the Petersen graph. Consider

$$A = \begin{bmatrix} C - I & I_5 \\ I_5 & C' - I \end{bmatrix} \text{ and } B = \begin{bmatrix} C & I_5 \\ I_5 & -C' \end{bmatrix},$$

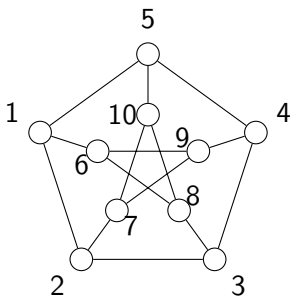
where C and C' are the adjacency matrix of C_5 and $\overline{C_5}$, respectively. Then $\gamma_{\text{gr}}(P) \leq \text{rank}(A) = 5$ and the sequence $(1, 2, 3, 4, 5)$ is optimal.



Let P be the Petersen graph. Consider

$$A = \begin{bmatrix} C - I & I_5 \\ I_5 & C' - I \end{bmatrix} \text{ and } B = \begin{bmatrix} C & I_5 \\ I_5 & -C' \end{bmatrix},$$

where C and C' are the adjacency matrix of C_5 and $\overline{C_5}$, respectively. Then $\gamma_{\text{gr}}^t(G) \leq \text{rank}(B) = 6$ and the sequence $(9, 1, 2, 3, 4, 5)$ is optimal.



Proof of the theorem

- ▶ Goal: Show $\gamma_{\text{gr}}(G) \leq \text{rank}(A)$ for all $A \in \mathcal{S}(G)$ with nonzero diagonal entries.
- ▶ Key: **Permutation** does not change the rank, and the dominating sequence gives an **echelon form**.

Pick an optimal sequence (v_1, \dots, v_k) and a matrix A . Let N_j be the vertices dominated by v_j but not any vertex before v_j .

$$\begin{array}{l} v_1 \\ v_2 \\ \vdots \\ v_k \\ \text{other vertices} \end{array} \begin{bmatrix} N_1 & N_2 & \dots & N_k \\ * \cdots * & 0 & \dots & 0 \\ ? & * \cdots * & 0 & \vdots \\ ? & ? & \ddots & 0 \\ ? & \dots & ? & * \cdots * \\ ? & ? & ? & ? \end{bmatrix}$$

Proof of the theorem

- ▶ Goal: Show $\gamma_{\text{gr}}(G) \leq \text{rank}(A)$ for all $A \in \mathcal{S}(G)$ with nonzero diagonal entries.
- ▶ Key: **Permutation** does not change the rank, and the dominating sequence gives an **echelon form**.

Pick an optimal sequence (v_1, \dots, v_k) and a matrix A . Let N_j be the vertices dominated by v_j but not any vertex before v_j .

$$\begin{array}{l} v_1 \\ v_2 \\ \vdots \\ v_k \\ \text{other vertices} \end{array} \begin{bmatrix} N_1 & N_2 & \dots & N_k \\ * \cdots * & 0 & \dots & 0 \\ ? & * \cdots * & 0 & \vdots \\ ? & ? & \ddots & 0 \\ ? & \dots & ? & * \cdots * \\ ? & ? & ? & ? \end{bmatrix}$$

Z-Grundy domination number and zero forcing number

The **Z-Grundy domination number** $\gamma_{\text{gr}}^Z(G)$ is the length of the longest sequence (v_1, v_2, \dots, v_k) such that

$$N_G(v_i) \setminus \bigcup_{j=1}^{i-1} N_G[v_j] \neq \emptyset.$$

Theorem (Brešar et al. 2017; L 2017)

For any graph, $\gamma_{\text{gr}}^Z(G) \leq \text{rank}(A)$ for any matrix $A \in \mathcal{S}(G)$.

Theorem (AIM 2008)

For any graph, $\text{null}(A) \leq Z(G)$ for any matrix $A \in \mathcal{S}(G)$.

- ▶ Here $Z(G)$ is the **zero forcing number** defined through a graph searching process.
- ▶ Brešar et al. proved that $Z(G) = |V(G)| - \gamma_{\text{gr}}^Z(G)$.

Z-Grundy domination number and zero forcing number

The **Z-Grundy domination number** $\gamma_{\text{gr}}^Z(G)$ is the length of the longest sequence (v_1, v_2, \dots, v_k) such that

$$N_G(v_i) \setminus \bigcup_{j=1}^{i-1} N_G[v_j] \neq \emptyset.$$

Theorem (Brešar et al. 2017; L 2017)

For any graph, $\gamma_{\text{gr}}^Z(G) \leq \text{rank}(A)$ for any matrix $A \in \mathcal{S}(G)$.

Theorem (AIM 2008)

For any graph, $\text{null}(A) \leq Z(G)$ for any matrix $A \in \mathcal{S}(G)$.

- ▶ Here $Z(G)$ is the **zero forcing number** defined through a graph searching process.
- ▶ Brešar et al. proved that $Z(G) = |V(G)| - \gamma_{\text{gr}}^Z(G)$.

Upper bound for the multiplicity

- ▶ Recall that $\text{null}(A - \lambda I) = m_\lambda(A)$, while $A \in \mathcal{S}(G)$ if and only if $A - \lambda I \in \mathcal{S}(G)$.

Theorem (AIM 2008)

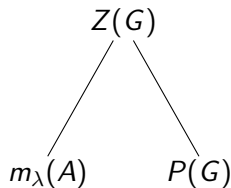
For any graph G and a matrix $A \in \mathcal{S}(G)$,

$$m_\lambda(A) \leq Z(G) \text{ for all eigenvalue } \lambda \text{ of } A.$$

Theorem (Johnson and Leal Duarte 1999)

Let G be a tree and $A \in \mathcal{S}(G)$. Then

$$m_\lambda(A) \leq P(G) \text{ for any eigenvalue } \lambda \text{ of } A.$$



Upper bound for the multiplicity

- ▶ Recall that $\text{null}(A - \lambda I) = m_\lambda(A)$, while $A \in \mathcal{S}(G)$ if and only if $A - \lambda I \in \mathcal{S}(G)$.

Theorem (AIM 2008)

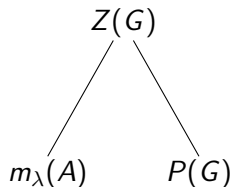
For any graph G and a matrix $A \in \mathcal{S}(G)$,

$$m_\lambda(A) \leq Z(G) \text{ for all eigenvalue } \lambda \text{ of } A.$$

Theorem (Johnson and Leal Duarte 1999)

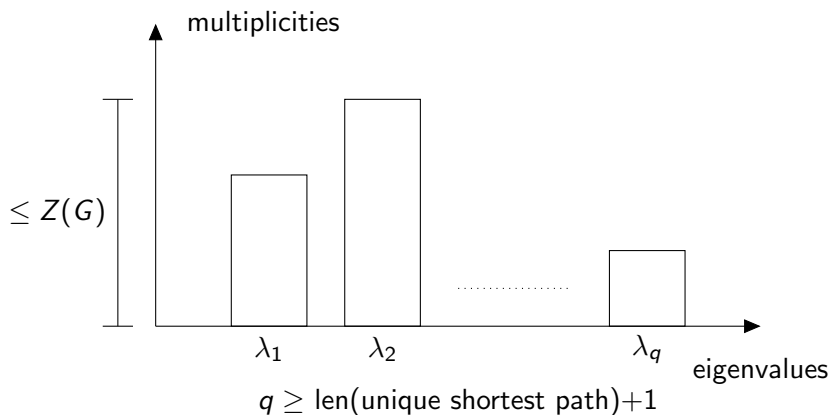
Let G be a tree and $A \in \mathcal{S}(G)$. Then

$$m_\lambda(A) \leq P(G) \text{ for any eigenvalue } \lambda \text{ of } A.$$





Inverse eigenvalue problem of a graph

The **inverse eigenvalue problem of a graph** (IEPG) aims to find all spectra in $\mathcal{S}(G)$ for a given graph.





References I

-  AIM Minimum Rank – Special Graphs Work Group (F. Barioli, W. Barrett, S. Butler, S. M. Cioabă, D. Cvetković, S. M. Fallat, C. Godsil, W. H. Haemers, L. Hogben, R. Mikkelsen, S. K. Narayan, O. Pryporova, I. Sciriha, W. So, D. Stevanović, H. van der Holst, K. Vander Meulen, and A. Wangsness).
Zero forcing sets and the minimum rank of graphs.
Linear Algebra Appl., 428:1628–1648, 2008.
-  B. Brešar, Cs. Bujtás, T. Gologranc, S. Klavžar, G. Košmrlj, B. Patkós, Zs. Tuza, and M. Vizer.
Grundy dominating sequences and zero forcing sets.
Discrete Optim., 26:66–77, 2017.

References II



C. Godsil.

Spectra of trees.

Annals of Discrete Math., 20:151–159, 1984.



C. R. Johnson and A. Leal Duarte.

The maximum multiplicity of an eigenvalue in a matrix whose graph is a tree.

Linear Multilinear Algebra, 46:139–144, 1999.



J. C.-H. Lin.

Zero forcing number, Grundy domination number, and their variants.

<http://arxiv.org/abs/1706.00798>.

(under review).

References III



J. Sinkovic.

A graph for which the inertia bound is not tight.

J. Algebraic Combin., 2017.

<https://doi.org/10.1007/s10801-017-0768-0>.