### The strong spectral property for graphs

#### Jephian C.-H. Lin 林晉宏

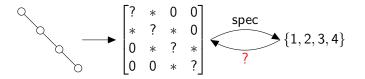
#### Department of Applied Mathematics, National Sun Yat-sen University

#### May 27, 2021 Canadian Discrete and Algorithmic Mathematics Conference 2021, virtual

#### Inverse eigenvalue problem of a graph (IEP-G)

Let G be a graph. Define S(G) as the family of all real symmetric matrices  $A = [a_{ij}]$  such that

$$a_{ij} \begin{cases} \neq 0 & \text{if } ij \in E(G), i \neq j; \\ = 0 & \text{if } ij \notin E(G), i \neq j; \\ \in \mathbb{R} & \text{if } i = j. \end{cases}$$

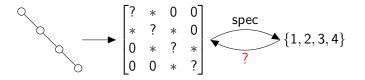


IEP-G: What are the possible spectra of a matrix in  $\mathcal{S}(G)$ ?

#### Inverse eigenvalue problem of a graph (IEP-G)

Let G be a graph. Define S(G) as the family of all real symmetric matrices  $A = [a_{ij}]$  such that

$$a_{ij} \begin{cases} \neq 0 & \text{if } ij \in E(G), i \neq j; \\ = 0 & \text{if } ij \notin E(G), i \neq j; \\ \in \mathbb{R} & \text{if } i = j. \end{cases}$$



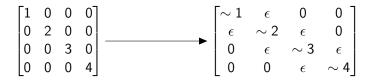
IEP-G: What are the possible spectra of a matrix in  $\mathcal{S}(G)$ ?

### Supergraph Lemma

#### Lemma (BFHHLS 2017)

Let H be a spanning subgraph of G. If  $A \in S(H)$  has the strong spectral property (SSP), then there is a matrix  $B \in S(G)$  such that

- spec(A) = spec(B),
- B has the SSP, and
- ► ||B − A|| can be chosen arbitrarily small.



SSP will be defined later

Entrywise product o

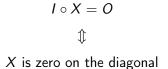
$$A \circ X = O$$
 $(X)_{ij} \neq 0$  only when  $(A)_{ij} = 0$ 

The strong spectral property for graphs

æ

NSYSU

《口》《聞》《臣》《臣》



Let  $A \in \mathcal{S}(G)$ . Then

$$A \circ X = O$$
 and  $I \circ X = O$ 
 $(X)_{ij} \neq 0$  only when  $ij \notin E(G)$ 

▶ < ≣ ▶

æ

### Strong spectral property (SSP)

#### Definition

A matrix A has the strong spectral property (SSP) if X = O is the only real symmetric matrix that satisfies the following matrix equations:

$$\blacktriangleright A \circ X = O, I \circ X = O,$$

$$\blacktriangleright AX - XA = O.$$

Examples of matrices with the SSP:

Here we use the notation [A, X] for AX - XA.

### Strong spectral property (SSP)

#### Definition

A matrix A has the strong spectral property (SSP) if X = O is the only real symmetric matrix that satisfies the following matrix equations:

$$\blacktriangleright A \circ X = O, I \circ X = O,$$

$$\blacktriangleright AX - XA = O.$$

Examples of matrices with the SSP:

Here we use the notation [A, X] for AX - XA.

The strong spectral property for graphs

# Example of $A \in \mathcal{S}(P_4)$

Let

$$A = \begin{bmatrix} 0 & 1 & 0 & 0 \\ 1 & 0 & 1 & 0 \\ 0 & 1 & 0 & 1 \\ 0 & 0 & 1 & 0 \end{bmatrix} \text{ and } X = \begin{bmatrix} 0 & 0 & x & y \\ 0 & 0 & 0 & z \\ x & 0 & 0 & 0 \\ y & z & 0 & 0 \end{bmatrix}.$$

Then

$$[A, X] = \begin{bmatrix} 0 & -x & -y & -x+z \\ x & 0 & x-z & y \\ y & -x+z & 0 & z \\ x-z & -y & -z & 0 \end{bmatrix} = O.$$

 $\implies x = 0, z = 0, y = 0 \implies X = O$ 

・ロト ・ 日 ・ ・ ヨ ・ ・ 日 ・ ・

÷.

# Example of $A \in \mathcal{S}(P_4)$

Let

$$A = \begin{bmatrix} 0 & 1 & 0 & 0 \\ 1 & 0 & 1 & 0 \\ 0 & 1 & 0 & 1 \\ 0 & 0 & 1 & 0 \end{bmatrix} \text{ and } X = \begin{bmatrix} 0 & 0 & x & y \\ 0 & 0 & 0 & z \\ x & 0 & 0 & 0 \\ y & z & 0 & 0 \end{bmatrix}.$$

Then

$$[A, X] = \begin{bmatrix} 0 & -x & -y & -x+z \\ x & 0 & x-z & y \\ y & -x+z & 0 & z \\ x-z & -y & -z & 0 \end{bmatrix} = O.$$

イロン イロン イヨン イヨン

E 990

### $A \in \mathcal{S}(P_4)$ always has the SSP

Let

$$A = \begin{bmatrix} d_1 & a_{12} & 0 & 0\\ a_{12} & d_2 & a_{23} & 0\\ 0 & a_{23} & d_3 & a_{34}\\ 0 & 0 & a_{34} & d_4 \end{bmatrix} \text{ and } X = \begin{bmatrix} 0 & 0 & x & y\\ 0 & 0 & 0 & z\\ x & 0 & 0 & 0\\ y & z & 0 & 0 \end{bmatrix}.$$

Then [A, X] =

$$\begin{bmatrix} 0 & -a_{23}x & ?x - a_{34}y & ? \\ ? & 0 & ? & a_{12}y + ?z \\ ? & ? & 0 & a_{23}z \\ ? & ? & ? & 0 \end{bmatrix} = O.$$

 $\implies x = 0, z = 0, y = 0 \implies X = O$ 

▲ロ ▶ ▲ 圖 ▶ ▲ 圖 ▶ ▲ 圖 ■ ● ● ● ●

### $A \in \mathcal{S}(P_4)$ always has the SSP

Let

$$A = \begin{bmatrix} d_1 & a_{12} & 0 & 0\\ a_{12} & d_2 & a_{23} & 0\\ 0 & a_{23} & d_3 & a_{34}\\ 0 & 0 & a_{34} & d_4 \end{bmatrix} \text{ and } X = \begin{bmatrix} 0 & 0 & x & y\\ 0 & 0 & 0 & z\\ x & 0 & 0 & 0\\ y & z & 0 & 0 \end{bmatrix}.$$

Then [A, X] =

$$\begin{bmatrix} 0 & -a_{23}x & ?x - a_{34}y & ? \\ ? & 0 & ? & a_{12}y + ?z \\ ? & ? & 0 & a_{23}z \\ ? & ? & ? & 0 \end{bmatrix} = O.$$

 $\implies x = 0, z = 0, y = 0 \implies X = O$ 

## Example of $A \in \mathcal{S}(K_{1,3})$

Let

$$A = \begin{bmatrix} 0 & 1 & 1 & 1 \\ 1 & 0 & 0 & 0 \\ 1 & 0 & 0 & 0 \\ 1 & 0 & 0 & 0 \end{bmatrix} \text{ and } X = \begin{bmatrix} 0 & 0 & 0 & 0 \\ 0 & 0 & x & y \\ 0 & x & 0 & z \\ 0 & y & z & 0 \end{bmatrix}.$$

Then [A, X] =

$$\begin{bmatrix} 0 & x+y & x+z & y+z \\ -x-y & 0 & 0 & 0 \\ -x-z & 0 & 0 & 0 \\ -y-z & 0 & 0 & 0 \end{bmatrix} = O \text{ implies } \begin{bmatrix} 0 & 1 & 1 \\ 1 & 0 & 1 \\ 1 & 1 & 0 \end{bmatrix} \begin{bmatrix} x \\ y \\ z \end{bmatrix} = 0$$

 $\implies x = 0, y = 0, z = 0 \implies X = O$ 

◆ロ ▶ ◆昼 ▶ ◆ 臣 ▶ ◆ 臣 ● の Q @

## Example of $A \in \mathcal{S}(K_{1,3})$

Let

$$A = \begin{bmatrix} 0 & 1 & 1 & 1 \\ 1 & 0 & 0 & 0 \\ 1 & 0 & 0 & 0 \\ 1 & 0 & 0 & 0 \end{bmatrix} \text{ and } X = \begin{bmatrix} 0 & 0 & 0 & 0 \\ 0 & 0 & x & y \\ 0 & x & 0 & z \\ 0 & y & z & 0 \end{bmatrix}.$$

Then [A, X] =

$$\begin{bmatrix} 0 & x+y & x+z & y+z \\ -x-y & 0 & 0 & 0 \\ -x-z & 0 & 0 & 0 \\ -y-z & 0 & 0 & 0 \end{bmatrix} = O \text{ implies } \begin{bmatrix} 0 & 1 & 1 \\ 1 & 0 & 1 \\ 1 & 1 & 0 \end{bmatrix} \begin{bmatrix} x \\ y \\ z \end{bmatrix} = 0$$

 $\implies x = 0, y = 0, z = 0 \implies X = O$ 

÷.

イロン イロン イヨン イヨン

## $A \in \mathcal{S}(K_{1,3})$ always has the SSP

Let

$$A = \begin{bmatrix} d_1 & a_{12} & a_{13} & a_{14} \\ a_{12} & d_2 & 0 & 0 \\ a_{13} & 0 & d_3 & 0 \\ a_{14} & 0 & 0 & d_4 \end{bmatrix} \text{ and } X = \begin{bmatrix} 0 & 0 & 0 & 0 \\ 0 & 0 & x & y \\ 0 & x & 0 & z \\ 0 & y & z & 0 \end{bmatrix}.$$
  
Then  $[A, X] = \begin{bmatrix} 0 & a_{13}x + a_{14}y & a_{12}x + a_{14}z & a_{12}y + a_{13}z \\ ? & 0 & ? & ? \\ ? & ? & 0 & ? \\ ? & ? & 0 & ? \\ ? & ? & 0 & \end{bmatrix} = O$   
implies  $\begin{bmatrix} 0 & a_{12} & a_{13} \\ a_{12} & 0 & a_{14} \\ a_{13} & a_{14} & 0 \end{bmatrix} \begin{bmatrix} x \\ y \\ z \end{bmatrix} = 0 \implies \begin{bmatrix} x \\ y \\ z \end{bmatrix} = 0 \implies X = O$ 

2

## $A \in \mathcal{S}(K_{1,3})$ always has the SSP

Let

$$A = \begin{bmatrix} d_1 & a_{12} & a_{13} & a_{14} \\ a_{12} & d_2 & 0 & 0 \\ a_{13} & 0 & d_3 & 0 \\ a_{14} & 0 & 0 & d_4 \end{bmatrix} \text{ and } X = \begin{bmatrix} 0 & 0 & 0 & 0 \\ 0 & 0 & x & y \\ 0 & x & 0 & z \\ 0 & y & z & 0 \end{bmatrix}.$$
  
Then  $[A, X] = \begin{bmatrix} 0 & a_{13}x + a_{14}y & a_{12}x + a_{14}z & a_{12}y + a_{13}z \\ ? & 0 & ? & ? \\ ? & ? & 0 & ? \\ ? & ? & 0 & ? \\ ? & ? & 0 & \end{bmatrix} = O$   
implies  $\begin{bmatrix} 0 & a_{12} & a_{13} \\ a_{12} & 0 & a_{14} \\ a_{13} & a_{14} & 0 \end{bmatrix} \begin{bmatrix} x \\ y \\ z \end{bmatrix} = 0 \Longrightarrow \begin{bmatrix} x \\ y \\ z \end{bmatrix} = 0 \Longrightarrow X = O$ 

2

#### Verification of the SSP

Verification:

A has the SSP  $\iff \{AE_{ij} - E_{ij}A\}_{ij \in E(\overline{G})}$  is linearly independent

2

《曰》《聞》《臣》《臣》

#### Verification of the SSP

Verification:

A has the SSP  $\iff \{AE_{ij} - E_{ij}A\}_{ij \in E(\overline{G})}$  is linearly independent

2

(四) (日) (日)

#### Verification matrix

Let  $vec_o(M)$  be the vector that records the off-diagonal entries of a skew-symmetric matrix M.

$$\begin{bmatrix} 0 & 1 & 2 & 3 \\ -1 & 0 & 4 & 5 \\ -2 & -4 & 0 & 6 \\ -3 & -5 & -6 & 0 \end{bmatrix} \xrightarrow{\mathsf{vec}_{o}} \begin{bmatrix} 1 & 2 & 3 & 4 & 5 & 6 \end{bmatrix}$$

Definition

Let  $A \in \mathcal{S}(G)$  and  $p = |E(\overline{G})|$ . The SSP verification matrix  $\Psi_{S}(A)$  of A is a  $p \times {n \choose 2}$  matrix whose rows are composed of  $\operatorname{vec}_{o}(AE_{ij} - E_{ij}A)$  for  $ij \in E(\overline{G})$ .

A has the SSP  $\iff \Psi_{\rm S}(A)$  has full row-rank.

伺 ト イヨ ト イヨ ト

#### Verification matrix

Let  $vec_o(M)$  be the vector that records the off-diagonal entries of a skew-symmetric matrix M.

$$\begin{bmatrix} 0 & 1 & 2 & 3 \\ -1 & 0 & 4 & 5 \\ -2 & -4 & 0 & 6 \\ -3 & -5 & -6 & 0 \end{bmatrix} \xrightarrow{\mathsf{vec}_{o}} \begin{bmatrix} 1 & 2 & 3 & 4 & 5 & 6 \end{bmatrix}$$

Definition

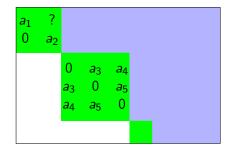
Let  $A \in \mathcal{S}(G)$  and  $p = |E(\overline{G})|$ . The SSP verification matrix  $\Psi_{S}(A)$  of A is a  $p \times {n \choose 2}$  matrix whose rows are composed of  $\operatorname{vec}_{o}(AE_{ij} - E_{ij}A)$  for  $ij \in E(\overline{G})$ .

A has the SSP  $\iff \Psi_{\rm S}(A)$  has full row-rank.

• • • • • • • • •

### Key idea

The verification matrix *always* has full row-rank if the green parts are always invertible and the white part is zero.



#### Forcing process: general setting

Let G be a graph.

- Each edge on *G* is considered as "black".
- Each non-edge of G is initially white but can possibly be blue in the process.
- Color change rules will be defined later.

#### Theorem (L, Oblak, and Šmigoc 2020)

If starting with all white and ending with all non-edge blue, then every  $A \in S(G)$  has the SSP.

### Forcing process: general setting

Let G be a graph.

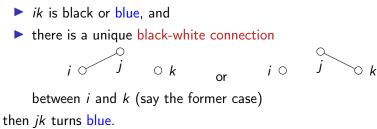
- Each edge on *G* is considered as "black".
- Each non-edge of G is initially white but can possibly be blue in the process.
- Color change rules will be defined later.

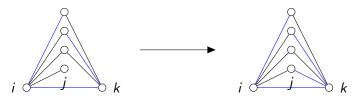
#### Theorem (L, Oblak, and Šmigoc 2020)

If starting with all white and ending with all non-edge blue, then every  $A \in S(G)$  has the SSP.

### Forcing process: Rule 1

#### lf





### Forcing process: Rule 2

#### lf

G[N(v)] contains a white odd cycle C as a component, and
 there are exactly two black-white connection between v and

each vertex on C,

then the edges in E(C) turn blue.

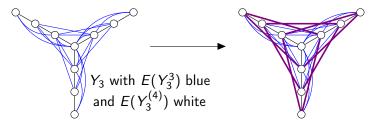


#### Forcing process: Rule 3

#### lf

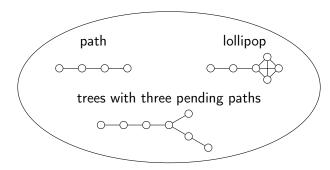
- G contains an induced subgraph  $Y_h$ ,
- edges in  $E(Y_h^h)$  are blue, edges in  $E(Y_h^{(h+1)})$  are white, and
- there are exactly two black-white connections between the two endpoints of each edge in E(Y<sub>h</sub><sup>(h)</sup>),

then the edges in  $E(Y_h^{(h+1)})$  turn blue.



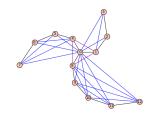
### Graphs that guarantee the SSP

For the following graphs G, every  $A \in \mathcal{S}(G)$  has the SSP.

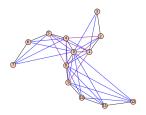


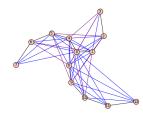
This includes all graphs with q(G) = n - 1.





**GIF** version



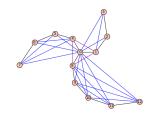


・ロト ・日ト ・ヨト

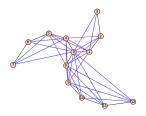
The strong spectral property for graphs

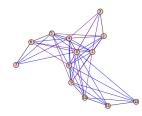
Thanks!





**GIF** version





Thanks!

#### References I

W. Barrett, S. M. Fallat, H. T. Hall, L. Hogben, J. C.-H. Lin, and B. Shader.
Generalizations of the Strong Arnold Property and the minimum number of distinct eigenvalues of a graph. *Electron. J. Combin.*, 24:#P2.40, 2017.

J. C.-H. Lin, P. Oblak, and H. Šmigoc. The strong spectral property for graphs. *Linear Algebra Appl.*, 598:68–91, 2020.