# The strong spectral property for graphs

Jephian C.-H. Lin 林晉宏

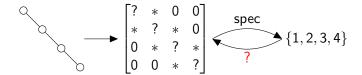
Department of Applied Mathematics, National Sun Yat-sen University

May 18, 2021 SIAM Conference on Applied Linear Algebra with ILAS2021 embedded, virtual

# Inverse eigenvalue problem of a graph (IEP-G)

Let G be a graph. Define S(G) as the family of all real symmetric matrices  $A = \begin{bmatrix} a_{ij} \end{bmatrix}$  such that

$$a_{ij} \begin{cases} \neq 0 & \text{if } ij \in E(G), i \neq j; \\ = 0 & \text{if } ij \notin E(G), i \neq j; \\ \in \mathbb{R} & \text{if } i = j. \end{cases}$$

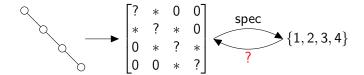


IEP-G: What are the possible spectra of a matrix in S(G)?

# Inverse eigenvalue problem of a graph (IEP-G)

Let G be a graph. Define S(G) as the family of all real symmetric matrices  $A = [a_{ij}]$  such that

$$a_{ij} \begin{cases} \neq 0 & \text{if } ij \in E(G), i \neq j; \\ = 0 & \text{if } ij \notin E(G), i \neq j; \\ \in \mathbb{R} & \text{if } i = j. \end{cases}$$



IEP-G: What are the possible spectra of a matrix in S(G)?

## Supergraph Lemma

### Lemma (BFHHLS 2017)

Let H be a spanning subgraph of G. If  $A \in \mathcal{S}(H)$  has the strong spectral property (SSP), then there is a matrix  $B \in \mathcal{S}(G)$  such that

- ightharpoonup spec(B),
- ▶ B has the SSP. and
- $ightharpoonup \|B A\|$  can be chosen arbitrarily small.

$$\begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & 2 & 0 & 0 \\ 0 & 0 & 3 & 0 \\ 0 & 0 & 0 & 4 \end{bmatrix} \longrightarrow \begin{bmatrix} \sim 1 & \epsilon & 0 & 0 \\ \epsilon & \sim 2 & \epsilon & 0 \\ 0 & \epsilon & \sim 3 & \epsilon \\ 0 & 0 & \epsilon & \sim 4 \end{bmatrix}$$

SSP will be defined later

## Entrywise product o

$$A \circ X = O$$
  $\updownarrow$   $(X)_{ij} 
eq 0$  only when  $(A)_{ij} = 0$ 

$$I \circ X = O$$

$$\updownarrow$$

X is zero on the diagonal

Let  $A \in \mathcal{S}(G)$ . Then

$$A \circ X = O$$
 and  $I \circ X = O$ 



 $(X)_{ij} \neq 0$  only when  $ij \notin E(G)$ 

# Strong spectral property (SSP)

#### Definition

A matrix A has the strong spectral property (SSP) if X = O is the only real symmetric matrix that satisfies the following matrix equations:

- $\triangleright$   $A \circ X = O, I \circ X = O,$
- $\rightarrow AX XA = 0.$

Examples of matrices with the SSP:

Here we use the notation [A, X] for AX - XA.

## Strong spectral property (SSP)

#### Definition

A matrix A has the strong spectral property (SSP) if X = O is the only real symmetric matrix that satisfies the following matrix equations:

- $\triangleright$   $A \circ X = O, I \circ X = O,$
- $\rightarrow AX XA = 0.$

Examples of matrices with the SSP:

Here we use the notation [A, X] for AX - XA.

# Example of $A \in \mathcal{S}(P_4)$

Let

$$A = \begin{bmatrix} 0 & 1 & 0 & 0 \\ 1 & 0 & 1 & 0 \\ 0 & 1 & 0 & 1 \\ 0 & 0 & 1 & 0 \end{bmatrix} \text{ and } X = \begin{bmatrix} 0 & 0 & x & y \\ 0 & 0 & 0 & z \\ x & 0 & 0 & 0 \\ y & z & 0 & 0 \end{bmatrix}.$$

Then

$$[A, X] = \begin{bmatrix} 0 & -x & -y & -x + z \\ x & 0 & x - z & y \\ y & -x + z & 0 & z \\ x - z & -y & -z & 0 \end{bmatrix} = 0.$$

$$\implies x = 0, z = 0, y = 0 \implies X = 0$$

# Example of $A \in \mathcal{S}(P_4)$

Let

$$A = \begin{bmatrix} 0 & 1 & 0 & 0 \\ 1 & 0 & 1 & 0 \\ 0 & 1 & 0 & 1 \\ 0 & 0 & 1 & 0 \end{bmatrix} \text{ and } X = \begin{bmatrix} 0 & 0 & x & y \\ 0 & 0 & 0 & z \\ x & 0 & 0 & 0 \\ y & z & 0 & 0 \end{bmatrix}.$$

Then

$$[A, X] = \begin{bmatrix} 0 & -x & -y & -x + z \\ x & 0 & x - z & y \\ y & -x + z & 0 & z \\ x - z & -y & -z & 0 \end{bmatrix} = 0.$$

$$\implies x = 0, z = 0, y = 0 \implies X = 0$$

# $A \in \mathcal{S}(P_4)$ always has the SSP

Let

$$A = \begin{bmatrix} d_1 & a_{12} & 0 & 0 \\ a_{12} & d_2 & a_{23} & 0 \\ 0 & a_{23} & d_3 & a_{34} \\ 0 & 0 & a_{34} & d_4 \end{bmatrix} \text{ and } X = \begin{bmatrix} 0 & 0 & x & y \\ 0 & 0 & 0 & z \\ x & 0 & 0 & 0 \\ y & z & 0 & 0 \end{bmatrix}.$$

Then 
$$[A, X] =$$

$$\begin{bmatrix} 0 & -a_{23}x & ?x - a_{34}y & ? \\ ? & 0 & ? & a_{12}y + ?z \\ ? & ? & 0 & a_{23}z \\ ? & ? & ? & 0 \end{bmatrix} = O.$$

$$\implies x = 0, z = 0, y = 0 \implies X = 0$$

# $A \in \mathcal{S}(P_4)$ always has the SSP

Let

$$A = \begin{bmatrix} d_1 & a_{12} & 0 & 0 \\ a_{12} & d_2 & a_{23} & 0 \\ 0 & a_{23} & d_3 & a_{34} \\ 0 & 0 & a_{34} & d_4 \end{bmatrix} \text{ and } X = \begin{bmatrix} 0 & 0 & x & y \\ 0 & 0 & 0 & z \\ x & 0 & 0 & 0 \\ y & z & 0 & 0 \end{bmatrix}.$$

Then 
$$[A, X] =$$

$$\begin{bmatrix} 0 & -a_{23}x & ?x - a_{34}y & ? \\ ? & 0 & ? & a_{12}y + ?z \\ ? & ? & 0 & a_{23}z \\ ? & ? & ? & 0 \end{bmatrix} = O.$$

$$\implies x = 0, z = 0, y = 0 \implies X = 0$$

# Example of $A \in \mathcal{S}(K_{1,3})$

Let

$$A = \begin{bmatrix} 0 & 1 & 1 & 1 \\ 1 & 0 & 0 & 0 \\ 1 & 0 & 0 & 0 \\ 1 & 0 & 0 & 0 \end{bmatrix} \text{ and } X = \begin{bmatrix} 0 & 0 & 0 & 0 \\ 0 & 0 & x & y \\ 0 & x & 0 & z \\ 0 & y & z & 0 \end{bmatrix}.$$

Then [A, X] =

$$\begin{bmatrix} 0 & x+y & x+z & y+z \\ -x-y & 0 & 0 & 0 \\ -x-z & 0 & 0 & 0 \\ -y-z & 0 & 0 & 0 \end{bmatrix} = O \text{ implies } \begin{bmatrix} 0 & 1 & 1 \\ 1 & 0 & 1 \\ 1 & 1 & 0 \end{bmatrix} \begin{bmatrix} x \\ y \\ z \end{bmatrix} = 0$$

$$\implies x = 0, y = 0, z = 0 \implies X = 0$$

# Example of $A \in \mathcal{S}(K_{1,3})$

Let

$$A = \begin{bmatrix} 0 & 1 & 1 & 1 \\ 1 & 0 & 0 & 0 \\ 1 & 0 & 0 & 0 \\ 1 & 0 & 0 & 0 \end{bmatrix} \text{ and } X = \begin{bmatrix} 0 & 0 & 0 & 0 \\ 0 & 0 & x & y \\ 0 & x & 0 & z \\ 0 & y & z & 0 \end{bmatrix}.$$

Then [A, X] =

$$\begin{bmatrix} 0 & x+y & x+z & y+z \\ -x-y & 0 & 0 & 0 \\ -x-z & 0 & 0 & 0 \\ -y-z & 0 & 0 & 0 \end{bmatrix} = O \text{ implies } \begin{bmatrix} 0 & 1 & 1 \\ 1 & 0 & 1 \\ 1 & 1 & 0 \end{bmatrix} \begin{bmatrix} x \\ y \\ z \end{bmatrix} = 0$$

$$\implies x = 0, y = 0, z = 0 \implies X = 0$$

# $A \in \mathcal{S}(K_{1,3})$ always has the SSP

Let

$$A = \begin{bmatrix} d_1 & a_{12} & a_{13} & a_{14} \\ a_{12} & d_2 & 0 & 0 \\ a_{13} & 0 & d_3 & 0 \\ a_{14} & 0 & 0 & d_4 \end{bmatrix} \text{ and } X = \begin{bmatrix} 0 & 0 & 0 & 0 \\ 0 & 0 & x & y \\ 0 & x & 0 & z \\ 0 & y & z & 0 \end{bmatrix}.$$

Then 
$$[A, X] = \begin{bmatrix} 0 & a_{13}x + a_{14}y & a_{12}x + a_{14}z & a_{12}y + a_{13}z \\ ? & 0 & ? & ? \\ ? & ? & 0 & ? \\ ? & ? & ? & 0 \end{bmatrix} = O$$
implies  $\begin{bmatrix} 0 & a_{12} & a_{13} \\ a_{12} & 0 & a_{14} \\ a_{13} & a_{14} & 0 \end{bmatrix} \begin{bmatrix} x \\ y \\ z \end{bmatrix} = 0 \implies \begin{bmatrix} x \\ y \\ z \end{bmatrix} = 0 \implies X = O$ 

# $A \in \mathcal{S}(K_{1,3})$ always has the SSP

Let

$$A = \begin{bmatrix} d_1 & a_{12} & a_{13} & a_{14} \\ a_{12} & d_2 & 0 & 0 \\ a_{13} & 0 & d_3 & 0 \\ a_{14} & 0 & 0 & d_4 \end{bmatrix} \text{ and } X = \begin{bmatrix} 0 & 0 & 0 & 0 \\ 0 & 0 & x & y \\ 0 & x & 0 & z \\ 0 & y & z & 0 \end{bmatrix}.$$

Then 
$$[A, X] = \begin{bmatrix} 0 & a_{13}x + a_{14}y & a_{12}x + a_{14}z & a_{12}y + a_{13}z \\ ? & 0 & ? & ? \\ ? & ? & 0 & ? \\ ? & ? & ? & 0 \end{bmatrix} = O$$
implies  $\begin{bmatrix} 0 & a_{12} & a_{13} \\ a_{12} & 0 & a_{14} \\ a_{13} & a_{14} & 0 \end{bmatrix} \begin{bmatrix} x \\ y \\ z \end{bmatrix} = 0 \Longrightarrow \begin{bmatrix} x \\ y \\ z \end{bmatrix} = 0 \Longrightarrow X = O$ 

### Verification of the SSP

- ▶ Let  $A \in \mathcal{S}(G)$ .
- Let  $E_{ij} = 0$ , 1-matrix with two ones on ij and ji.
- ▶ Define  $X = \sum_{ij \in E(\overline{G})} x_{ij} E_{ij}$ .

$$AX - XA = \sum_{ij \in E(\overline{G})} x_{ij} (AE_{ij} - E_{ij}A) = O$$

Verification:

A has the SSP  $\iff \{AE_{ij} - E_{ij}A\}_{ij \in E(\overline{G})}$  is linearly independent

### Verification of the SSP

- ▶ Let  $A \in \mathcal{S}(G)$ .
- Let  $E_{ij} = 0$ , 1-matrix with two ones on ij and ji.
- ▶ Define  $X = \sum_{ij \in E(\overline{G})} x_{ij} E_{ij}$ .

$$AX - XA = \sum_{ij \in E(\overline{G})} x_{ij} (AE_{ij} - E_{ij}A) = O$$

Verification:

A has the SSP  $\iff \{AE_{ij} - E_{ij}A\}_{ij \in E(\overline{G})}$  is linearly independent

### Verification matrix

Let  $vec_o(M)$  be the vector that records the off-diagonal entries of a skew-symmetric matrix M.

$$\begin{bmatrix} 0 & 1 & 2 & 3 \\ -1 & 0 & 4 & 5 \\ -2 & -4 & 0 & 6 \\ -3 & -5 & -6 & 0 \end{bmatrix} \xrightarrow{\text{vec}_o} \begin{bmatrix} 1 & 2 & 3 & 4 & 5 & 6 \end{bmatrix}$$

#### Definition

Let  $A \in \mathcal{S}(G)$  and  $p = |E(\overline{G})|$ . The SSP verification matrix  $\Psi_{\mathbf{S}}(A)$  of A is a  $p \times \binom{n}{2}$  matrix whose rows are composed of  $\text{vec}_{\mathbf{o}}(AE_{ij} - E_{ij}A)$  for  $ij \in E(\overline{G})$ .

A has the SSP  $\iff \Psi_{\rm S}(A)$  has full row-rank

### Verification matrix

Let  $vec_o(M)$  be the vector that records the off-diagonal entries of a skew-symmetric matrix M.

$$\begin{bmatrix} 0 & 1 & 2 & 3 \\ -1 & 0 & 4 & 5 \\ -2 & -4 & 0 & 6 \\ -3 & -5 & -6 & 0 \end{bmatrix} \xrightarrow{\text{vec}_o} \begin{bmatrix} 1 & 2 & 3 & 4 & 5 & 6 \end{bmatrix}$$

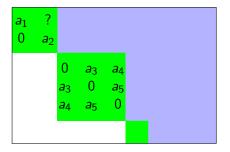
#### Definition

Let  $A \in \mathcal{S}(G)$  and  $p = |E(\overline{G})|$ . The SSP verification matrix  $\Psi_{\mathbf{S}}(A)$  of A is a  $p \times \binom{n}{2}$  matrix whose rows are composed of  $\text{vec}_{\mathbf{o}}(AE_{ij} - E_{ij}A)$  for  $ij \in E(\overline{G})$ .

A has the SSP  $\iff \Psi_{\rm S}(A)$  has full row-rank.

# Key idea

The verification matrix *always* has full row-rank if the green parts are always invertible and the white part is zero.



### Forcing process: general setting

#### Let G be a graph.

- ▶ Each edge on *G* is considered as "black".
- ► Each non-edge of *G* is initially white but can possibly be blue in the process.
- Color change rules will be defined later.

Theorem (L, Oblak, and Šmigoc 2020)

If starting with all white and ending with all non-edge blue, then every  $A \in \mathcal{S}(G)$  has the SSP.

### Forcing process: general setting

#### Let G be a graph.

- ▶ Each edge on *G* is considered as "black".
- ► Each non-edge of *G* is initially white but can possibly be blue in the process.
- Color change rules will be defined later.

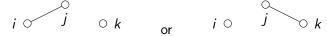
# Theorem (L, Oblak, and Šmigoc 2020)

If starting with all white and ending with all non-edge blue, then every  $A \in \mathcal{S}(G)$  has the SSP.

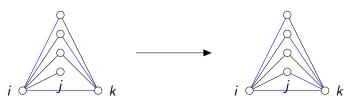
## Forcing process: Rule 1

lf

- ik is black or blue, and
- ▶ there is a unique black-white connection



between i and k (say the former case) then jk turns blue.



### Forcing process: Rule 2

#### lf

- ightharpoonup G[N(v)] contains a white odd cycle C as a component, and
- ▶ there are exactly two black-white connection between v and each vertex on C,

then the edges in E(C) turn blue.

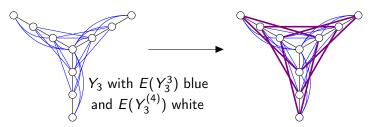


## Forcing process: Rule 3

If

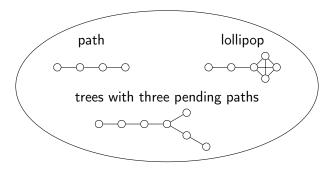
- $\triangleright$  G contains an induced subgraph  $Y_h$ ,
- edges in  $E(Y_h^h)$  are blue, edges in  $E(Y_h^{(h+1)})$  are white, and
- ▶ there are exactly two black-white connections between the two endpoints of each edge in  $E(Y_h^{(h)})$ ,

then the edges in  $E(Y_h^{(h+1)})$  turn blue.



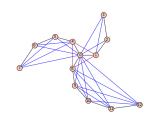
### Graphs that guarantee the SSP

For the following graphs G, every  $A \in \mathcal{S}(G)$  has the SSP.

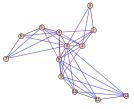


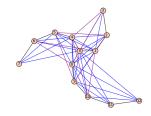
This includes all graphs with q(G) = n - 1.



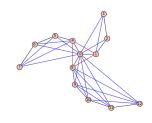


**GIF** version

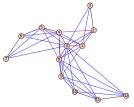


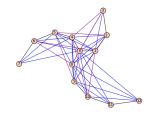






**GIF** version





### References I



W. Barrett, S. M. Fallat, H. T. Hall, L. Hogben, J. C.-H. Lin, and B. Shader.

Generalizations of the Strong Arnold Property and the minimum number of distinct eigenvalues of a graph.

Electron. J. Combin., 24:#P2.40, 2017.



J. C.-H. Lin, P. Oblak, and H. Šmigoc.

The strong spectral property for graphs.

Linear Algebra Appl., 598:68–91, 2020.