

On the zero forcing process

Jephian C.-H. Lin

Department of Applied Mathematics, National Sun Yat-sen University
Department of Mathematics and Statistics, University of Victoria

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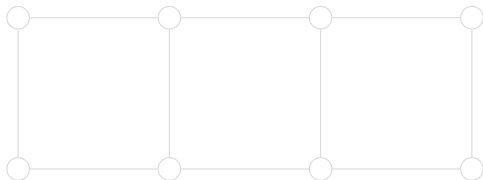
Taiwan-Vietnam Workshop on Mathematics, Kaohsiung,
Taiwan

Zero forcing

Zero forcing process:

- ▶ Start with a given set of blue vertices.
- ▶ If for some x , the closed neighbourhood $N_G[x]$ are all blue except for one vertex y and $y \neq x$, then y turns blue.

An initial blue set that can make the whole graph blue is called a **zero forcing set**. The **zero forcing number** $Z(G)$ of a graph G is the minimum size of a zero forcing set.

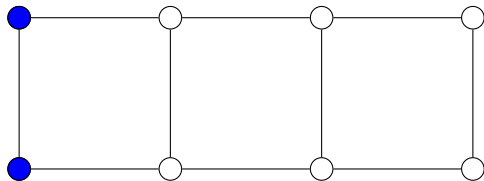


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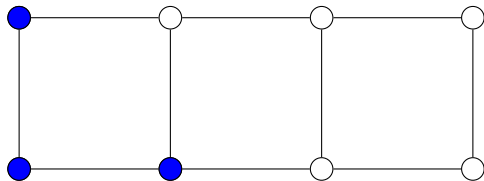


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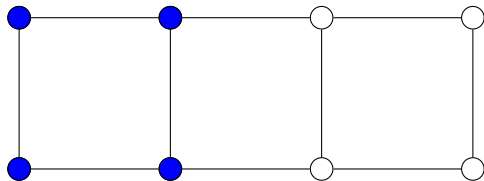


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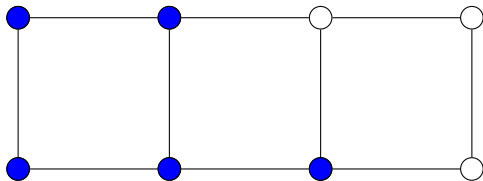


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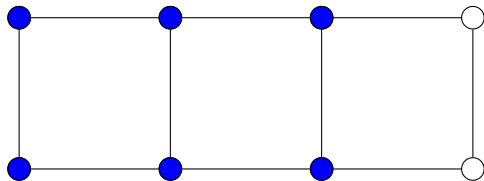


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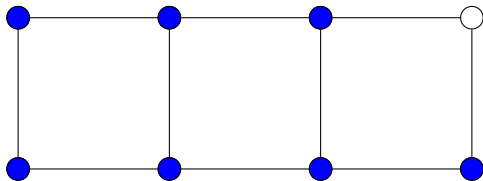


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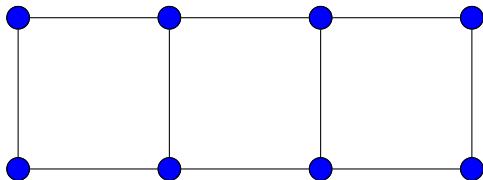


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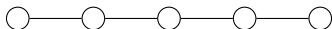
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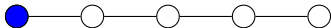


$$Z(G) = 1$$



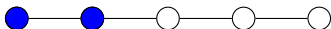
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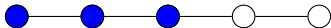
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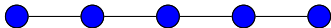
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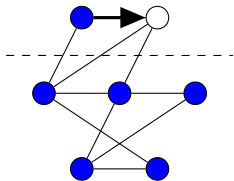
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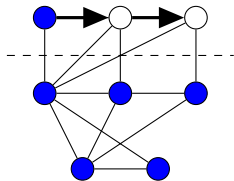
$Z(G) = 1$ if and only if G is a path.

$$Z(G) = n \text{ or } n - 1$$

$$Z(G) = n \implies P_2\text{-free}$$



$$Z(G) = n - 1 \implies P_3\text{-free}$$



Let G be a graph on n vertices.

- ▶ Then $Z(G) = n$ if and only if G is the union of isolated vertices.
- ▶ And $Z(G) = n - 1$ if and only if G is $K_r \dot{\cup} \overline{K_{n-r}}$, $r \neq 1$.

Generalised adjacency matrix

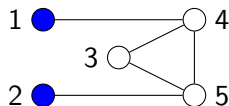
Let G be a simple graph on n vertices. The family $\mathcal{S}(G)$ consists of all $n \times n$ real symmetric matrix $M = [M_{i,j}]$ with

$$\begin{cases} M_{i,j} = 0 & \text{if } i \neq j \text{ and } \{i,j\} \text{ is not an edge,} \\ M_{i,j} \neq 0 & \text{if } i \neq j \text{ and } \{i,j\} \text{ is an edge,} \\ M_{i,j} \in \mathbb{R} & \text{if } i = j. \end{cases}$$

$$\mathcal{S}(\text{---}\circ\text{---}\circ\text{---}\circ) \ni \begin{bmatrix} 0 & 1 & 0 \\ 1 & 0 & 1 \\ 0 & 1 & 0 \end{bmatrix}, \begin{bmatrix} 1 & -1 & 0 \\ -1 & 2 & -1 \\ 0 & -1 & 1 \end{bmatrix}, \begin{bmatrix} 2 & 0.1 & 0 \\ 0.1 & 1 & \pi \\ 0 & \pi & 0 \end{bmatrix}, \dots$$

Why zero forcing?

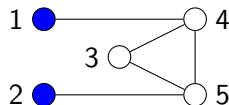
$$\begin{bmatrix} -2 & 0 & 0 & 7 & 0 \\ 0 & 1 & 0 & 0 & -9 \\ 0 & 0 & 0 & 3 & 4 \\ 7 & 0 & 3 & -4 & 5 \\ 0 & -9 & 4 & 5 & 0 \end{bmatrix} \begin{bmatrix} x_1 \\ x_2 \\ x_3 \\ x_4 \\ x_5 \end{bmatrix} = \begin{bmatrix} 0 \\ 0 \\ 0 \\ 0 \\ 0 \end{bmatrix}$$



- ▶ Pick a matrix $A \in \mathcal{S}(G)$ and consider $Ax = \mathbf{0}$.
- ▶ Each vertex represents a variable. Each vertex also represents an equation where **appearing variables are the neighbours and possibly itself**.
- ▶ Blue means zero. White means unknown.

Hidden triangle in a system

$$\begin{array}{rcccccc} 1. & -2x_1 & & +7x_4 & & = 0 \\ 2. & & 1x_2 & & -9x_5 & = 0 \\ 3. & & & 3x_4 & +4x_5 & = 0 \\ 4. & 7x_1 & & +3x_3 & -4x_4 & +5x_5 = 0 \\ 5. & & -9x_2 & +4x_3 & +5x_4 & = 0 \end{array}$$



Given $x_1 = x_2 = 0$,

$$1. \implies x_4 = 0,$$

$$2. \implies x_5 = 0,$$

$$4. \implies x_3 = 0.$$

Given 1 and 2 blue,

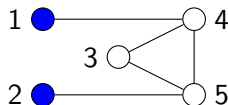
$$1 \rightarrow 4,$$

$$2 \rightarrow 5,$$

$$4 \rightarrow 3.$$

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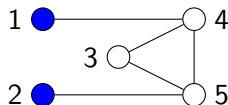
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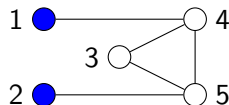
$$\begin{array}{l} 1. \implies x_4 = 0, \\ 2. \implies x_5 = 0, \\ 4. \implies x_3 = 0. \end{array}$$

Given 1 and 2 blue,

$$\begin{array}{l} 1 \rightarrow 4, \\ 2 \rightarrow 5, \\ 4 \rightarrow 3. \end{array}$$

Hidden triangle in a system

$$\begin{array}{rclcl} 1. & 7x_4 & & -2x_1 & = 0 \\ 2. & & -9x_5 & & +1x_2 = 0 \\ 4. & -4x_4 & +5x_5 & +3x_3 & +7x_1 = 0 \\ 3. & 3x_4 & +4x_5 & & = 0 \\ 5. & 5x_4 & & +4x_3 & -9x_2 = 0 \end{array}$$



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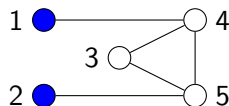
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As long as the red terms has nonzero coefficients and the orange terms are zero, the same argument always works.

Triangle number

- ▶ A **pattern** is a matrix whose entries are in $\{0, *, ?\}$.
- ▶ A **triangle** is a submatrix of a pattern that can be permuted to a lower triangular matrix with $*$ on the diagonal.

$$\begin{bmatrix} ? & 0 & 0 & * & 0 \\ 0 & ? & 0 & 0 & * \\ 0 & 0 & ? & * & * \\ * & 0 & * & ? & * \\ 0 & * & * & * & ? \end{bmatrix}$$

$$\begin{bmatrix} 0 & * & 0 \\ 0 & 0 & * \\ * & ? & * \end{bmatrix} \rightarrow \begin{bmatrix} * & 0 & 0 \\ 0 & * & 0 \\ ? & * & * \end{bmatrix}$$

triangle

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$$\begin{bmatrix} ? & 0 & 0 \\ 0 & ? & 0 \\ 0 & 0 & ? \end{bmatrix}$$

not a triangle

Triangle number

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$$\begin{bmatrix} 0 & 0 & * \\ * & ? & * \\ 0 & * & ? \end{bmatrix} \rightarrow \begin{bmatrix} * & 0 & 0 \\ ? & * & 0 \\ * & ? & * \end{bmatrix}$$

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- ▶ The **triangle number** $\text{tri}(\mathcal{P})$ of a pattern \mathcal{P} is the largest size of a triangle in \mathcal{P} .
- ▶ Define $\text{tri}(G) = \text{tri}(\mathcal{P})$, where \mathcal{P} is the pattern of the generalized adjacency matrix of G .

Triangle number and zero forcing

Theorem

For any simple graph G on n vertices, $\text{tri}(G) = n - Z(G)$.

Proof.

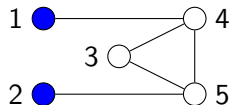
Record all the forces in order. Find the rows of the “forc-ers”, find the columns of the “forc-ees”, then you find the triangle. \square

$$\begin{bmatrix} ? & 0 & 0 & * & 0 \\ 0 & ? & 0 & 0 & * \\ 0 & 0 & ? & * & * \\ * & 0 & * & ? & * \\ 0 & * & * & * & ? \end{bmatrix}$$

$$1 \rightarrow 4$$

$$2 \rightarrow 5$$

$$4 \rightarrow 3$$



Proposition (Kenter and L 2018)

Let G be a graph on the vertex set V . The following are equivalent:

1. B is a zero forcing set.
2. For any $A \in \mathcal{S}(G)$, the columns corresponding to $V \setminus B$ hides a lower triangular matrix.
3. For any $A \in \mathcal{S}(G)$, the columns corresponding to $V \setminus B$ are linearly independent.

Theorem (AIM Work Group 2008)

Let G be a graph on n vertices. For any matrix $A \in \mathcal{S}(G)$,
 $n - Z(G) \leq \text{rank}(A)$.

Corollary tridiagonal

Corollary

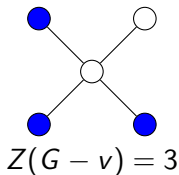
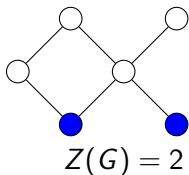
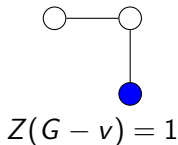
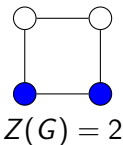
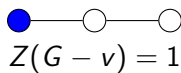
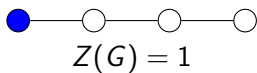
Any symmetric irreducible tridiagonal matrix has all its eigenvalues distinct.

$$\begin{bmatrix} ? & * & 0 & \cdots & 0 \\ * & ? & * & \ddots & \vdots \\ 0 & * & \ddots & \ddots & 0 \\ \vdots & \ddots & \ddots & & * \\ 0 & \cdots & 0 & * & ? \end{bmatrix}$$

Proof.

For any $A \in \mathcal{S}(P_n)$, $\text{null}(A) \leq Z(P_n) = 1$ and $\text{null}(A - \lambda I) \leq Z(P_n) = 1$.

$$Z(G) - 1 \leq Z(G - v) \leq Z(G) + 1$$



$\text{tri}(G)$ is induced subgraph monotone

- ▶ If H is an induced subgraph of G , then $\text{tri}(H) \leq \text{tri}(G)$.
- ▶ For each k , let $\mathbf{Forb}_{\text{tri}(G) \leq k}$ be the set of minimal induced subgraph of $\{H : \text{tri}(H) \geq k + 1\}$.
- ▶ Then $\text{tri}(G) \leq k$ if and only if G is $\mathbf{Forb}_{\text{tri}(G) \leq k}$ -free.

$$\mathbf{Forb}_{\text{tri}(G) \leq 0} = \{P_2\}$$

$$\mathbf{Forb}_{\text{tri}(G) \leq 1} = \{P_3, 2P_2\}$$

$$\mathbf{Forb}_{\text{tri}(G) \leq 2} = \{P_4, \text{diagram 1}, \text{diagram 2}, P_2 \cup P_3, 3P_2\}$$

Is $|\mathbf{Forb}_{\text{tri}(G) \leq k}|$ always finite?

Proposition

Any graph with $\text{tri}(G) \geq k + 1$ contains an induced subgraph with $\text{tri}(G) \geq k + 1$ and of order at most $2k + 2$.

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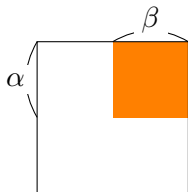
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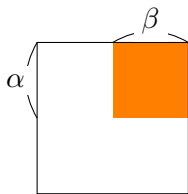


$$|\alpha|, |\beta| = k + 1$$
$$|\alpha \cup \beta| \leq 2k + 2$$

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$$|\alpha|, |\beta| = k + 1$$
$$|\alpha \cup \beta| \leq 2k + 2$$

Corollary

Any graph in $\mathbf{Forb}_{\text{tri}(G) \leq k}$ has order at most $2k + 2$.

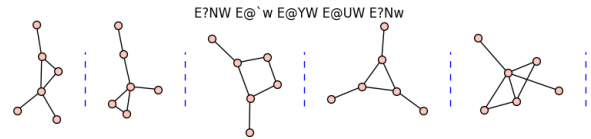
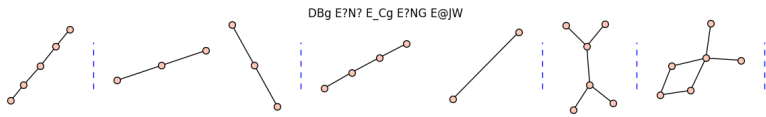
$$\mathbf{Forb}_{\text{tri}(G)\leq 0} = \{P_2\}$$

$$\mathbf{Forb}_{\text{tri}(G)\leq 1} = \{P_3, 2P_2\}$$



$$\mathbf{Forb}_{\text{tri}(G)\leq 2} = \{P_4, \text{two triangle graphs}, P_2 \dot{\cup} P_3, 3P_2\}$$

$$\mathbf{Forb}_{\text{tri}(G)\leq 3} = \{19 \text{ connected}, 6 \text{ disconnected}\}$$

$$|\mathbf{Forb}_{\text{tri}(G)\leq 4}| = 263, \dots$$



References I

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