



Permutation Patterns and ARM Identities

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Outline of this talk

- Permutation Patterns
 - What's on Now
 - Some of Our New Results
 - Problems & Discussions
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- Part of this talk is joint with
Fu (傅東山), Pan (潘業忠), Ting (丁建太), Yen (顏珮嵐).



Part 1

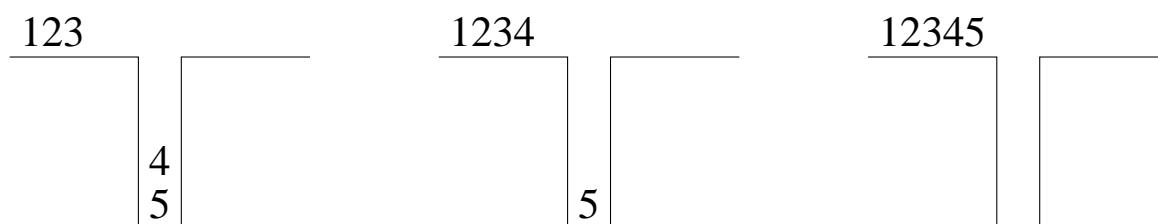
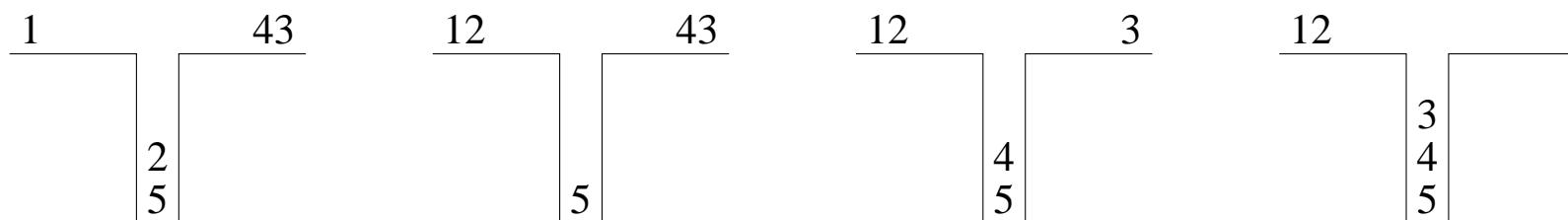
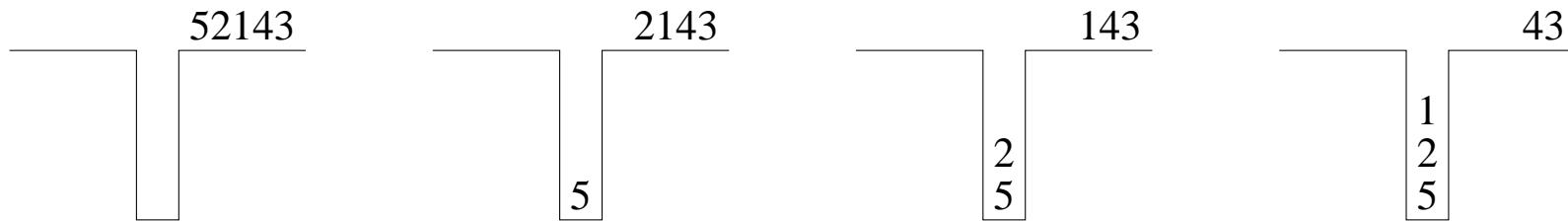
Permutation Patterns

(1) Stack Sortable

- Knuth considered the problem:

What permutations are sortable by a stack??

- 52143 is stack sortable.



- 53421 is **not** stack sortable.

Theorem: [Knuth, 1968]

$$\pi \text{ is stack sortable} \iff \pi \text{ has no } 231\text{-pattern.}$$

- 52143 has no 231 pattern.
- 5**3421** has 231 pattern(s).

Theorem: [Knuth, 1968] There are

$$\frac{1}{n+1} \binom{2n}{n} \quad (\text{Catalan numbers again!})$$

231-avoiding permutations of length n .

(2) Pattern avoiding permutations

- Given a pattern σ .
 - How many σ -avoiding permutations of length n are there?
- When $\sigma \in \mathfrak{S}_3$

Theorem: [Schmidt, Simion, 1985] There are

$$\frac{1}{n+1} \binom{2n}{n}$$

123 (or 132, 213, 231, 312, 321) -avoiding permutations of length n .

- That means, when $\sigma \in \mathfrak{S}_3$, there is only 1 Wilf-class.

- When $\sigma \in \mathfrak{S}_4$
 - There are three 3 Wilf-classes: 1234, 1342, 1324-avoiding.

Theorem: [Gessel, 1990] # 1234-avoiding permutations of length n is

$$2 \sum_{k=0}^n \binom{2k}{k} \binom{n}{k}^2 \frac{3k^2 + 2k + 1 - n - 2kn}{(k+1)^2(k+2)(n-k+1)}.$$

Theorem: [Bona, 1997] # 1342-avoiding permutations of length n is

$$(-1)^{n-1} \left(\frac{7n^2 - 3n - 2}{2} \right) + 3 \sum_{i=2}^n (-1)^{n-i} 2^{i+1} \frac{(2i-4)!}{i!(i-2)!} \binom{n-i+2}{2}.$$

Open problem: # 1324-avoiding permutations of length n ???

(3) Stanley-Wilf Conjecture

- The exact counting is usually not easy.
 - How about the the growth rate?
 - (**Stanley-Wilf conjecture**): is $\mathfrak{S}_n(\sigma) < k^n$ for some k ?
 - This conjecture stimulates a lot of work.

Theorem: [Marcus, Tardos, 2004] The SW conjecture is correct. That is,

$$\mathfrak{S}_n(\sigma) < k^n$$

for some constant number k .

- Surprising elementary and extremely elegant solution.
- The exact value $\lim_{n \rightarrow \infty} \sqrt[n]{\mathfrak{S}_n(\sigma)}$ is again hard.



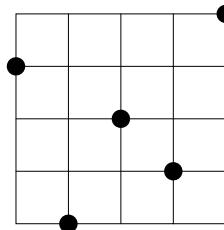
Part 2

What's on thereafter and now?

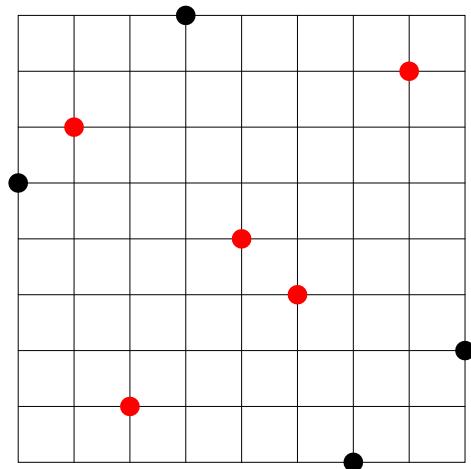
(1) Patterns

- New viewpoint of a “pattern”:

- 41325:

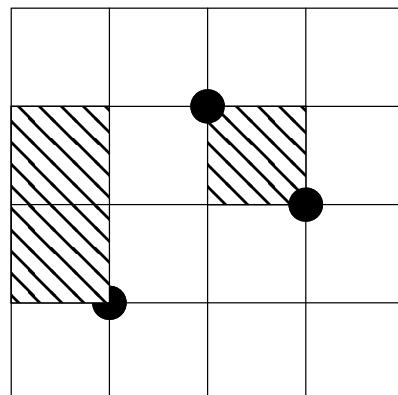


- has 41325:

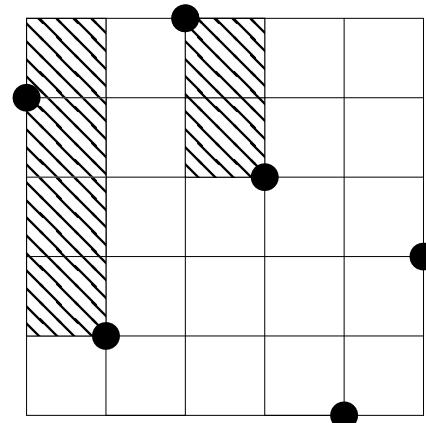
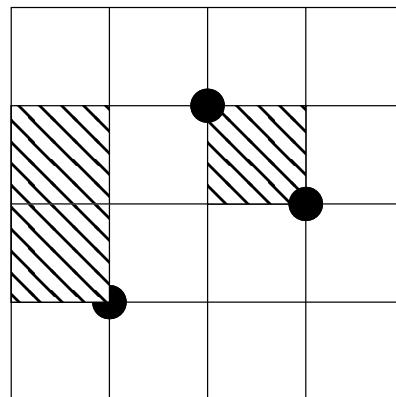


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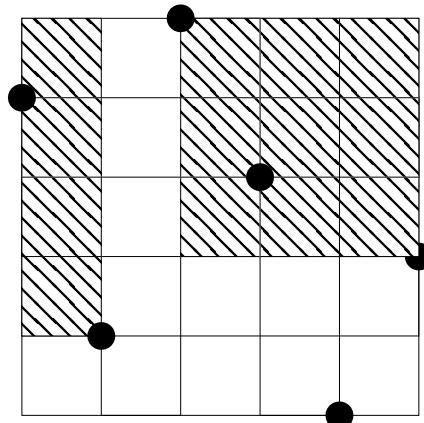
- Evolution of the concept of patterns:
 - (classical) pattern: $2 - 1 - 3$
 - generalized pattern: $2 - 13$,
 - Barred pattern: $2 - \overline{4} - 1 - 3$
 - Interval pattern: $[2 - 1 - 3)$,
 - Mesh pattern (2011).
- A mesh pattern is a diagram, any square can be shaded:



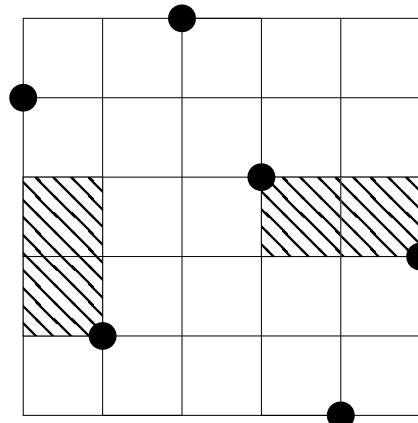
- The occurrence of the mesh pattern:



non-occurrence



non-occurrence



occurrence

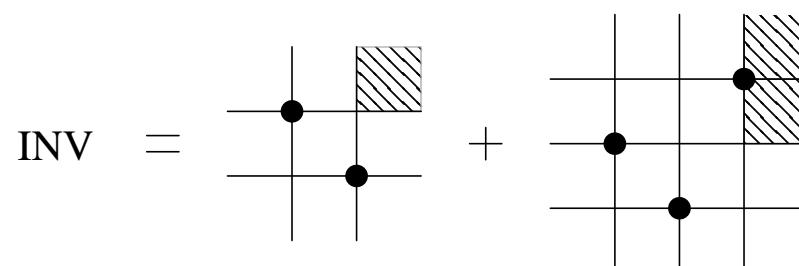
- Why mesh pattern?

- It includes (almost) all variations of ‘patterns’ above.
- It arises from the following striking theorem:

Theorem: [Brändén, Claesson, 2011, ElecJC]

Any permutation statistic may be represented uniquely as a, possibly infinite, linear combination of (classical) permutation patterns.

- To provide explicit expansions, mesh patterns are introduced.





(2) Counting

- What we have at hand:
 - So many patterns.
 - So many classes. (\mathfrak{S}_n , \mathfrak{A}_n , Involutions, Derangements, etc.)

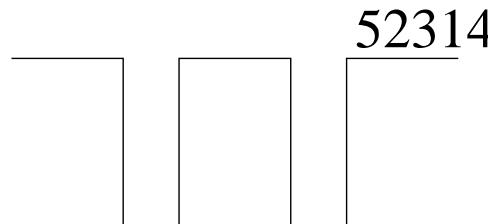
- **The Motto:**

(patterns) \times (classes)

- Things to do:
 - avoiding some.
 - including some.
 - avoiding some and including some.
- There are hundreds of papers.
- Still very active, **too many open problems.**

(3) Stack sortable

- How about 2-stack sortable?
 - 52314 is not 1-stack sortable, but is 2-stack sortable.



Theorem: [West, 1990]

$$\pi \text{ is 2-stack sortable} \iff \pi \text{ avoids } 2341, 3\bar{5}241$$

Theorem: [Zeilberger, 1992]

The # of 2-stack sortable permutations in \mathfrak{S}_n is

$$\frac{2(3n)!}{(2n+1)!(n+1)!}$$

- How about 3-stack sortable?
 - Wildly open, until....

Theorem: [Ulfasson, 2011]

π is 3-stack sortable $\iff \pi$ avoids 10 (decorated) mesh patterns

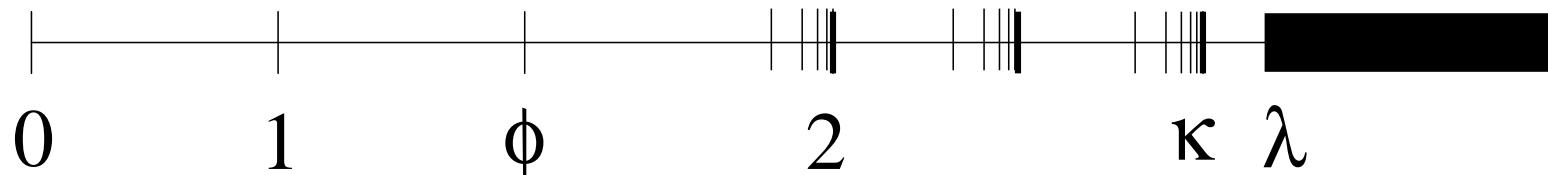
Open Problem: How many 3-stack sortable permutations in \mathfrak{S}_n ??

(4) Growth rate

- What number can be as an Stanley-Wilf limit (or Growth rate)?

Theorem: [Vatter, 2010, 2011]

Let the growth rate of class \mathfrak{C} be $\limsup_{n \rightarrow \infty} \sqrt[n]{|\mathfrak{C}_n|}$. Then



- nothing from 1 to $\phi = \frac{\sqrt{5}-1}{2}$
- 2 is the first accumulation point.
- below $\kappa = 2.21$ one can characterize the growth rate.
- above $\lambda = 2.48$ every number can be the growth rate.

Open Problem: Fill the gap between κ and λ .

(5) Connection with other fields

Theorem: [Bousquet-Melou, Butler, 2007]

The Schubert variety X_π is factorial $\iff \pi \in \mathfrak{S}(1324, 21\bar{3}54)$.

Theorem: [Ulfrasson, 2012]

The Schubert variety X_π is Gorenstein $\iff \pi$ avoids 2 ‘bivincular’ patterns. (detail omitted).

Theorem: [Conklin, Woo, 2012]

- Bruhat graph B_π is planar iff $\pi \in \mathfrak{S}(321)$, and $\text{inv}(\pi) < 4$.
- Bruhat graph B_π is torodial iff $\pi \in \mathfrak{S}(3412)$, $\text{inv}(\pi) < 5$, and if $\text{inv}(\pi) = 4$ then $\pi \in \mathfrak{S}(321)$.

(6) Various “Avoiding”

Words: Words avoiding a shorter word.

- e.g. RNA sequence without A-G.

Trees: Trees avoiding a smaller tree.

- e.g. Binary tree avoiding a double V .

Posets: Posets avoiding a smaller poset.

- e.g. Posets avoiding $3 + 1$
- e.g. Posets avoiding a diamond — c.f. talk of Griggs yesterday.

Matrices: Matrices avoiding a smaller matrix.

- e.g. Alternating sign matrix avoiding $\begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$.
etc, etc...

(7) As a structure

- We have permutations avoiding some pattern(s).

Motto: Regard it as a new combinatorial object.

- For example:

Theorem: [Steingrimsson, Tenner, 2010]

$\sigma \in \tau$ defines a partial order on \mathfrak{S}_n . A large class of pairs (π_1, π_2) with Möbius function 0 is found.

Theorem: [Bona, 2012]

The total number 231 (or 321, or 213) patterns in all $\mathfrak{S}_n(132)$ is the same. (This is a surprising triple-symmetry.)

- Our new work falls into this category....



Part 3

Our work

321-avoiding

- Review:

Theorem [Simion, Schmidt, 1985]

$$|\mathfrak{S}_n(321)| = c_n,$$

i.e.

Theorem [Simion, Schmidt, 1985]

$$\sum_{\pi \in \mathfrak{S}_n(321)} 1 = c_n,$$

where $c_n = \frac{1}{n+1} \binom{2n}{n}$ is the Catalan number.

Sign-balance

- Amazingly, the signed counting is also a Catalan numbers.

Theorem [Simion, Schmidt, 1985]

$$\sum_{\pi \in \mathfrak{S}_n(321)} (-1)^{\text{inv}(\pi)} = \begin{cases} C_{\frac{n-1}{2}}, \\ 0, \end{cases}$$

i.e.,

Theorem [Simion, Schmidt, 1985]

$$\begin{cases} \sum_{\pi \in \mathfrak{S}_{2n+1}(321)} (-1)^{\text{inv}(\pi)} &= \sum_{\pi \in \mathfrak{S}_n(321)} 1. \\ \sum_{\pi \in \mathfrak{S}_{2n}(321)} (-1)^{\text{inv}(\pi)} &= 0. \end{cases}$$

Adin-Roichman identities

- In 2004, Adin and Roichman gave a refinement.

$$\begin{aligned}\text{ldes}(\pi) &:= \text{last descent of } \pi \\ &= \max\{1 \leq i \leq n-1 : \pi(i) > \pi(i+1)\} \\ &\quad (\text{ldes}(\pi) := 0 \text{ if } \pi = \text{id})\end{aligned}$$

$$\cdot \text{ldes}(216\color{blue}{5}34) = 4.$$

Adin-Roichman's identities

Theorem [Adin, Roichman, 2004]

$$\begin{cases} \sum_{\pi \in \mathfrak{S}_{2n+1}(321)} (-1)^{\text{inv}(\pi)} q^{\text{ldes}(\pi)} = \sum_{\pi \in \mathfrak{S}_n(321)} q^{2\text{ldes}(\pi)} & (n \geq 0). \\ \sum_{\pi \in \mathfrak{S}_{2n}(321)} (-1)^{\text{inv}(\pi)} q^{\text{ldes}(\pi)} = (1 - q) \sum_{\pi \in \mathfrak{S}_n(321)} q^{2\text{ldes}(\pi)} & (n \geq 1). \end{cases}$$

n	1	2	3	4	5
$\sum (-1)^{\text{inv}(\pi)} q^{\text{ldes}(\pi)}$	1	$1 - q$	1	$(1 - q)(1 + q^2)$	$1 + q^2$
$\sum q^{\text{ldes}(\pi)}$	1	$1 + q$	—	—	—

- When $q = 1$, they reduces to Simon-Schmidt's identities.

Mansour's identities

At the same time, Mansour consider the $\mathfrak{S}_n(132)$.

$\text{find}(\pi) := \text{the index of the letter '1' in } \pi$

$$= \pi^{-1}(1)$$

$$\cdot \text{find}(216534) = 2.$$

Theorem [Mansour, 2004] For $n \geq 1$,

$$\begin{cases} \sum_{\pi \in \mathfrak{S}_{2n+1}(132)} (-1)^{\text{inv}(\pi)} q^{\text{ldes}(\pi)} &= \sum_{\pi \in \mathfrak{S}_{2n+1}(132)} q^{\text{find}(\pi)-1}. \\ \sum_{\pi \in \mathfrak{S}_{2n}(132)} (-1)^{\text{inv}(\pi)} q^{\text{ldes}\pi} &= (1-q) \sum_{\pi \in \mathfrak{S}_n(132)} q^{2(\text{find}(\pi)-1)}. \end{cases}$$

$2n$ reduced to n phenomenon

- The Adin-Roichman-Mansour identities are essentially
 - “ $2n$ reduces to n phenomena”
 - “Signed enumerator on size $2n$ ” = “enumerator on size n ”.
- “ARM-type identities”
 - bending the ARM — folding in half and double in thickness!
- We will present some new instances.



Part 3-1

Alternating permutations (321)

Alternating permutations

- $\pi = (\pi_1, \pi_2, \dots, \pi_n)$ is **alternating** if
$$\pi_1 > \pi_2 < \pi_3 > \pi_4 < \dots$$

- $\text{Alt}_n :=$ set of Alternating permutations of length n .
 - $\sum_{n \geq 0} |\text{Alt}_n| \frac{x^n}{n!} = \tan x + \sec x$.
 - $|\text{Alt}_n|_{n \geq 0} = 1, 1, 1, 2, 5, 16, 61, 272, 1385, 7936, \dots$
- $\text{Alt}_n(321) := \text{Alt}_n$ and avoiding 321.

Theorem [Deutsch, Reifegerste 2003, Mansour 2003]

$$|\text{Alt}_{2n}(321)| = |\text{Alt}_{2n-1}(321)| = \frac{1}{n+1} \binom{2n}{n}$$

- $|\text{Alt}_6(321)| = 5 \cdot (214365, 215364, 314265, 315264, 415263)$.
- $|\text{Alt}_5(321)| = 5 \cdot (21435, 21435, 31425, 31524, 41523)$.

Signed counting

- Motivation: signed counting of $\text{Alt}_{2n}(321)$

n	0	1	2	3	4	5	6	7	8	9	10	11	12	13	14
$\sum(-1)^{\text{inv}}$	1	1	-1	0	0	-1	1	0	0	2	-2	0	0	-5	5

- **Theorem** [Pan, Ting, 2011, to appear]

- $\sum_{\text{Alt}_{4n+2}(321)} (-1)^{\text{inv}(\pi)} = (-1)^{n+1} \sum_{\text{Alt}_{2n}(321)} 1$
- $\sum_{\text{Alt}_{4n+1}(321)} (-1)^{\text{inv}(\pi)} = (-1)^n \sum_{\text{Alt}_{2n}(321)} 1$
- $\sum_{\text{Alt}_{4n}(321)} (-1)^{\text{inv}(\pi)} = 0$
- $\sum_{\text{Alt}_{4n-1}(321)} (-1)^{\text{inv}(\pi)} = 0$

ARM on $\text{Alt}_n(321)$

- It is so similar to Simion-Schmidt's result.
 - Hence, it is natural to seek the ARM identities.

- **Theorem** [—, 2012]

- $\sum_{\text{Alt}_{4n+2}(321)} (-1)^{\text{inv}(\pi)} q^{\text{lead}(\pi)} = (-1)^{n+1} \sum_{\text{Alt}_{2n}(321)} q^{2 \cdot \text{lead}(\pi)}$
- $\sum_{\text{Alt}_{4n+1}(321)} (-1)^{\text{inv}(\pi)} q^{\text{lead}(\pi)} = (-1)^n \sum_{\text{Alt}_{2n}(321)} q^{2 \cdot \text{lead}(\pi)}$
- $\sum_{\text{Alt}_{4n}(321)} (-1)^{\text{inv}(\pi)} q^{\text{lead}(\pi)} = (-1)^{n+1} (1-q) \sum_{\text{Alt}_{2n}(321)} q^{2(\text{lead}(\pi)-1)}$
- $\sum_{\text{Alt}_{4n-1}(321)} (-1)^{\text{inv}(\pi)} q^{\text{lead}(\pi)} = (-1)^n (1-q) \sum_{\text{Alt}_{2n}(321)} q^{2(\text{lead}(\pi)-1)}$

Another

- ‘Ending’ ($\text{end}(\pi) := \pi_n$) also works!

- **Theorem** [—, 2012]

- $\sum_{\text{Alt}_{4n+2}(321)} (-1)^{\text{inv}(\pi)} q^{\text{end}(\pi)} = (-1)^{n+1} \sum_{\text{Alt}_{2n}(321)} q^{2 \cdot \text{end}(\pi)}$
- $\sum_{\text{Alt}_{4n+1}(321)} (-1)^{\text{inv}(\pi)} q^{\text{end}(\pi)} = (-1)^n \sum_{\text{Alt}_{2n}(321)} q^{2 \cdot \text{end}(\pi)}$
- $\sum_{\text{Alt}_{4n}(321)} (-1)^{\text{inv}(\pi)} q^{\text{end}(\pi)} = (-1)^n (1 - q) \sum_{\text{Alt}_{2n}(321)} q^{2(\text{end}(\pi)-1)}$
- $\sum_{\text{Alt}_{4n-1}(321)} (-1)^{\text{inv}(\pi)} q^{\text{end}(\pi)} = (-1)^{n+1} (1 - q) \sum_{\text{Alt}_{2n}(321)} q^{2(\text{end}(\pi)-1)}$

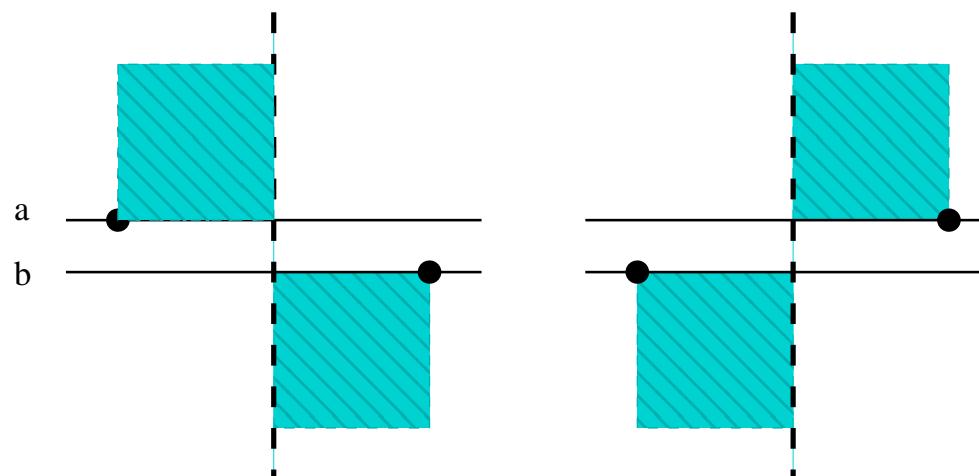


Part 3-2

Baxter permutations (321)

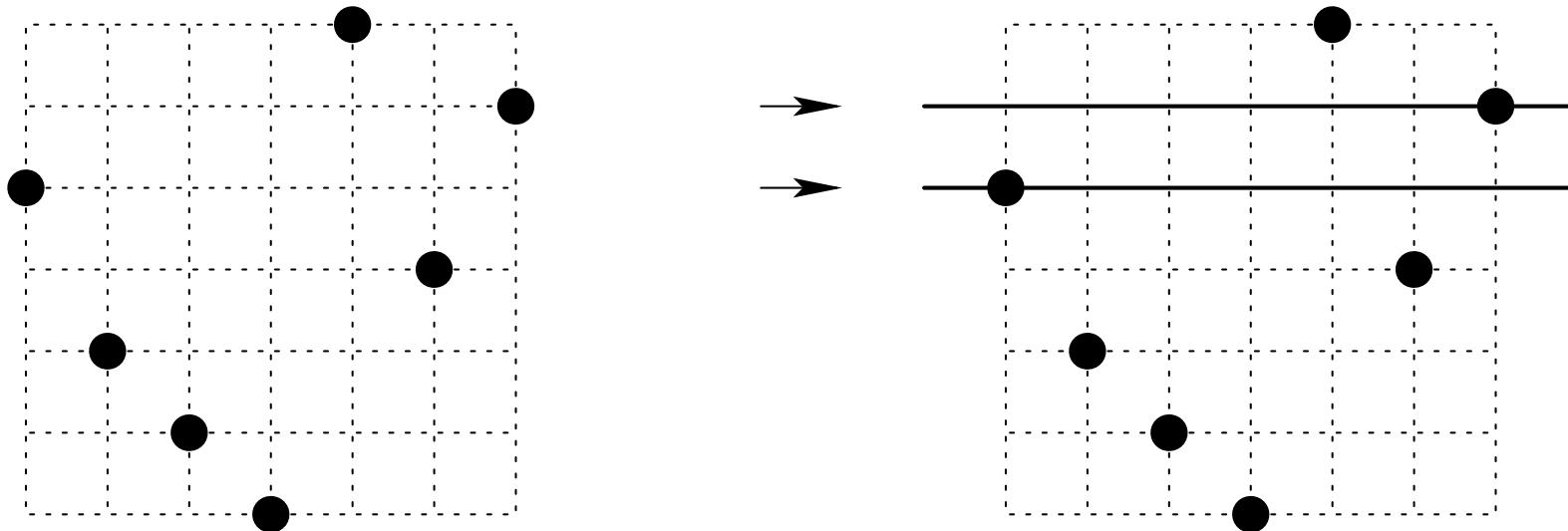
Baxter permutations

- Baxter permutations := $\mathfrak{S}_n(2\text{-}41\text{-}3, 3\text{-}14\text{-}2)$.
- $\pi \in \mathfrak{S}_n$ is **Baxter** if for all $1 \leq a < b < c < d \leq n$,
 - if $\pi_a + 1 = \pi_d$ and $\pi_b > \pi_d$, then $\pi_c > \pi_d$.
 - if $\pi_d + 1 = \pi_a$ and $\pi_c > \pi_a$, then $\pi_b > \pi_a$.
- For every 2 black dots whose height differ by 1,
 - ...the broken line can be found,
 - ...such that dots in between are in shaded area.



Baxter permutation

- 5321746 is **not** Baxter.



- $\text{Bax}_n :=$ set of Baxter permutations of length n .

Theorem [Chung, Graham, Hoggatt, Kleitman, 1978]

$$|\text{Bax}_n| = \frac{2}{n(n+1)^2} \sum_{k=1}^n \binom{n+1}{k-1} \binom{n+1}{k} \binom{n+1}{k+1}.$$

Baxter permutation avoiding 123

- $\text{Bax}_n(123) := \text{Bax}_n$ and avoid 123.

Theorem [Mansour, Vajnovszki, 2007]

$$\sum_{n \geq 0} |\text{Bax}_n(123)| z^n = \frac{1 - 2z + z^2}{1 - 3z + 2z^2 - z^3}.$$

- $|\text{Bax}_n(123)|_{n \geq 0} = 1, 1, 2, 5, 12, 28, 65, \dots$
- $|\text{Bax}_n(123)|_{n \geq 0} = p_{3n}$, a Padovan number, defined by
 $(p_0, p_1, p_2) = (1, 0, 0)$ and $p_n = p_{n-2} + p_{n-3}$.
- $|\text{Bax}_n(321)| = |\text{Bax}_n(123)|$

ARM on $\text{Bax}_n(321)$

- We have found an ARM-type identity on $\text{Bax}_n(321)$.

Theorem [—, 2012, to appear] For $n \geq 0$, we have

$$\sum_{\pi \in \text{Bax}_{2n+1}(321)} (-1)^{\text{maj}(\pi)} p^{\text{fix}(\pi)} q^{\text{des}(\pi)} = p \cdot \sum_{\pi \in \text{Bax}_n(321)} p^{2 \cdot \text{fix}(\pi)} q^{2 \cdot \text{des}(\pi)}.$$

- The sign is controlled by maj (somewhat surprising)

$$\text{maj}(\pi) := \sum_{\pi_i > \pi_{i+1}} i$$

- $\text{maj}(536214) = 1 + 3 + 4 = 8$

On $\text{Bax}_{2n}(321)$

- For the even length, the corresponding ARM identity is a sum.

Theorem [—, 2012, to appear in ElecJC] For $n \geq 0$, we have

$$\begin{aligned} & \sum_{\pi \in \text{Bax}_{2n}(321)} (-1)^{\text{maj}(\pi)} p^{\text{fix}(\pi)} q^{\text{des}(\pi)} \\ &= (-1)^n \sum_{\pi \in \text{Bax}_n(321)} p^{2 \cdot \text{fix}(\pi)} q^{2 \cdot \text{des}(\pi)} - q \sum_{i=0}^{n-1} \sum_{\pi \in \text{Bax}_i(321)} p^{2 \cdot \text{fix}(\pi)} q^{2 \cdot \text{des}(\pi)}. \end{aligned}$$



Part 3-3

Simsun permutations (312)



Simsun permutations

- $\pi \in \mathfrak{S}_n$ is **simsun** if for all $1 \leq k \leq n$,
 - π restricted to $\{1, 2, \dots, k\}$ has no double descent.
 - 6274351 is **not** simsun,
 - 2431 has double descent 431.
- Let $|\text{SS}_n|$ be the simsun permutations of length n .
 - **Theorem** [Simion, Sundaram].

$$|\text{SS}_n| = |\text{Alt}_{n+1}|.$$

Simsun permutations

- π is **double simsun** if both π and π^{-1} are simsun.
 - 51324 is simsun but **not** double simsun,
 - since $(51324)^{-1} = 24351$ is not simsun.
- Let $|\text{DS}_n| :=$ double simsun permutations of length n .
 - $|\text{DS}_n|$ is still unknown. However,....

Theorem [Chuang, Eu, Fu, Pan, 2012].

$$|\text{DS}_n(123)| = 2,$$

$$|\text{DS}_n(132)| = S_n,$$

$$|\text{DS}_n(213)| = S_n,$$

$$|\text{DS}_n(231)| = 2^{n-1},$$

$$|\text{DS}_n(312)| = 2^{n-1},$$

$$|\text{DS}_n(321)| = \frac{1}{n+1} \binom{2n}{n},$$

- where S_n is the ‘RNA secondary structure number’.

ARMs on $\text{DS}_n(312)$

Theorem [—, 2012]. For $n \geq 1$, we have

$$\sum_{\pi \in \text{DS}_{2n+2}(312)} (-1)^{\text{maj}(\pi)} \cdot q^{\text{fix}(\pi)} = (-1 + q^2) \sum_{\pi \in \text{DS}_n(312)} q^{2\text{fix}(\pi)}.$$

Theorem [—, 2012]. For $n \geq 2$, we have

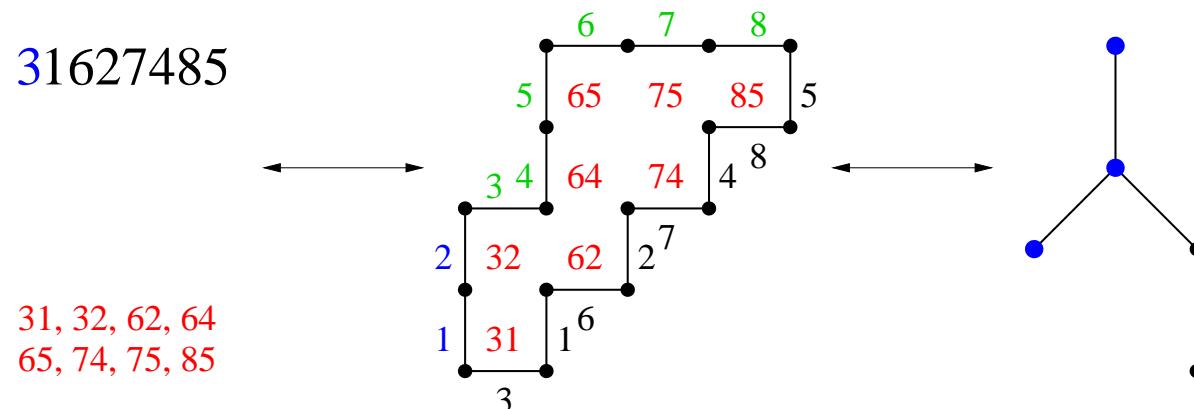
$$\sum_{\pi \in \text{DS}_{2n-1}(312)} (-1)^{\text{maj}(\pi)} \cdot q^{\text{lead}(\pi)} = \frac{2}{q(1 + q^2)} \sum_{\pi \in \text{DS}_n(312)} q^{2\text{lead}(\pi)}.$$



Idea of Proof

Alt_{4n+2}(321) Sketch Proof

- Step 1: Map everything to trees. $\text{Alt}_{2n} \longleftrightarrow T_n$
 - $T_n :=$ plane trees with n edges.
 - $\text{hsum}(T) :=$ sum of heights of all nodes of T .
 - $\text{lmp}(T) :=$ nodes on the leftmost path of T



$$\text{inv}(\pi) \longleftrightarrow \text{hsum}(T)$$

$$\text{lead}(\pi) \longleftrightarrow \text{lmp}(T)$$

Alt_{4n+2}(321) Sketch Proof

- Step 2: Look at identities on trees.

We are to prove

$$\sum_{\text{Alt}_{10}(321)} (-1)^{\text{inv}(\pi)} q^{\text{lead}(\pi)} = (-1)^3 \sum_{\text{Alt}_4(321)} q^{2 \cdot \text{lead}(\pi)}$$

which becomes

$$\sum_{T_5} (-1)^{\text{hsum}(T)} q^{\text{lmp}(T)} = (-1) \sum_{T_2} q^{2 \cdot \text{lmp}(T)}$$

Alt_{4n+2}(321) Sketch Proof

- Step 3: Devise an involution Φ (and a bijection Λ).

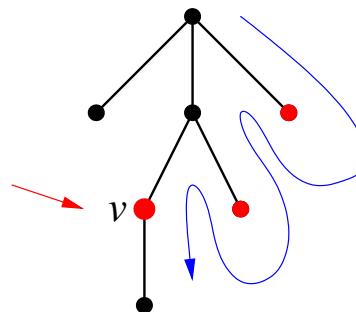
	$T_{5,2}$	$T_{5,3}$	$T_{5,4}$	$T_{5,5}$	$T_{5,6}$	
+	 	 	 			
-	 	 	 			Φ

$\mathcal{G}_{5,4}$ $\mathcal{G}_{5,6}$ T_2 $T_{2,2}$ $T_{2,3}$

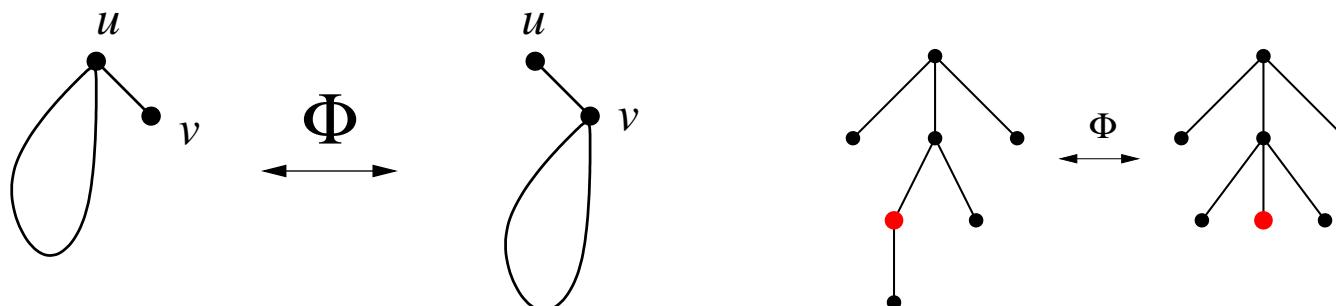
$$\sum_{T_5} (-1)^{\text{hsum}(T)} q^{\text{lmp}(T)} = (-1) \sum_{T_2} q^{2 \cdot \text{lmp}(T)}$$

Alt_{4n+2}(321) Sketch Proof

- Φ : an involution (to cancel w.r.t. **hsum**).
 - Find the last illegal vertex v via postorder
 - illegal vertex: (i) “leaf, but is not the first child” or
 (ii) “inner vertex, but is the first child”.

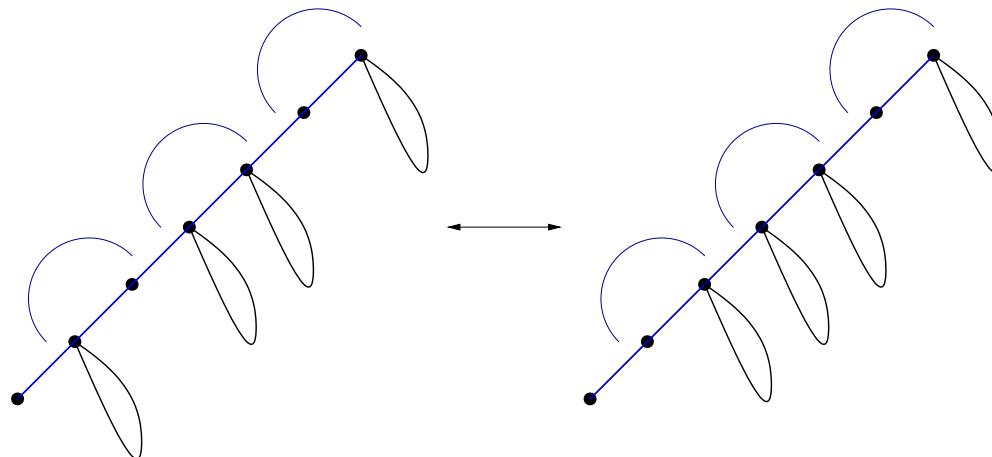


- Do the following (`hsum` will change sign, `lmp` keep unchanged).

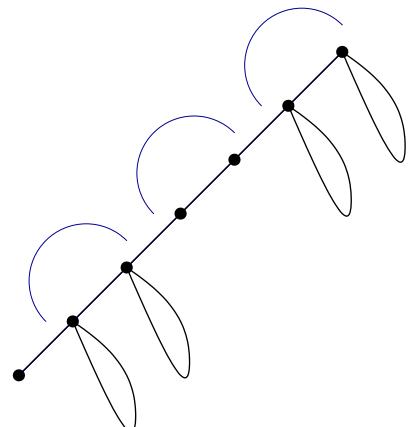


Alt_{4n+2}(321) Sketch Proof

- If \nexists illegal point, change the last (tree, vertex) \longleftrightarrow (vertex, tree).



- If \nexists illegal point, \nexists (tree, vertex), \nexists (vertex, tree), \Rightarrow a fix point.



Alt_{4n+2}(321) Sketch Proof

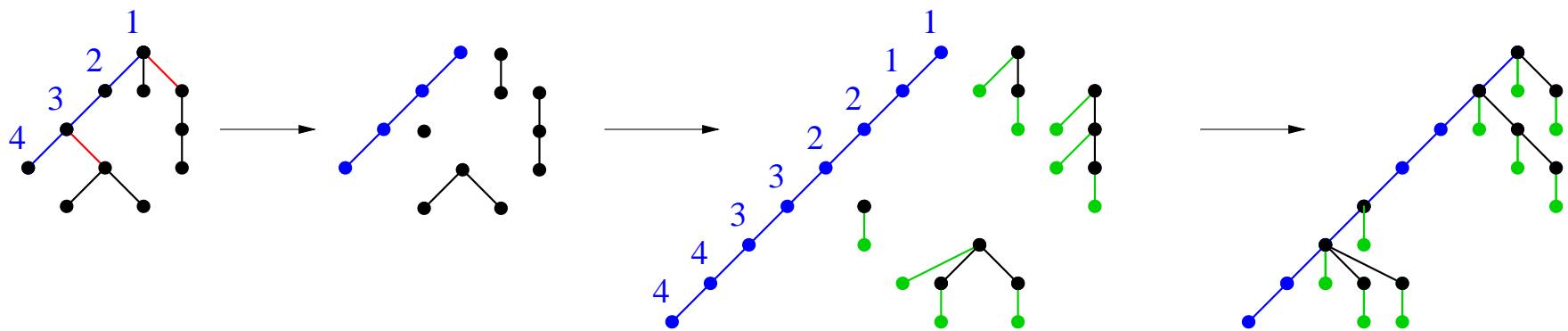
	$T_{5,2}$	$T_{5,3}$	$T_{5,4}$	$T_{5,5}$	$T_{5,6}$	
+						Φ
-						Λ

Diagram illustrating the mapping between sets of binary trees and a specific set T_2 . The rows represent additions (+) and subtractions (-) of sets of trees. The columns represent sets $T_{5,2}, T_{5,3}, T_{5,4}, T_{5,5}, T_{5,6}$. A curved arrow labeled Φ maps the union of all sets to T_2 . Arrows labeled Λ map individual sets to T_2 , specifically Λ from $T_{5,4}$ to T_2 and Λ from $T_{5,6}$ to T_2 . Dashed boxes highlight specific trees: $G_{5,4}$ in $T_{5,4}$, $G_{5,6}$ in $T_{5,6}$, and $T_{2,2}$ and $T_{2,3}$ in T_2 .

- The remaining task is to map fix points to T_2 .
 - We need a bijection $\Lambda: T_2 \rightarrow T_5$

Alt_{4n+2}(321) Sketch Proof

- Λ : a bijection (from T_n to T_{2n+1}).



- Idea:
 - Double the points on the left most path.
 - For each subtree, append a single edge on each vertex.

Alt_{4n+2}(321) Sketch Proof

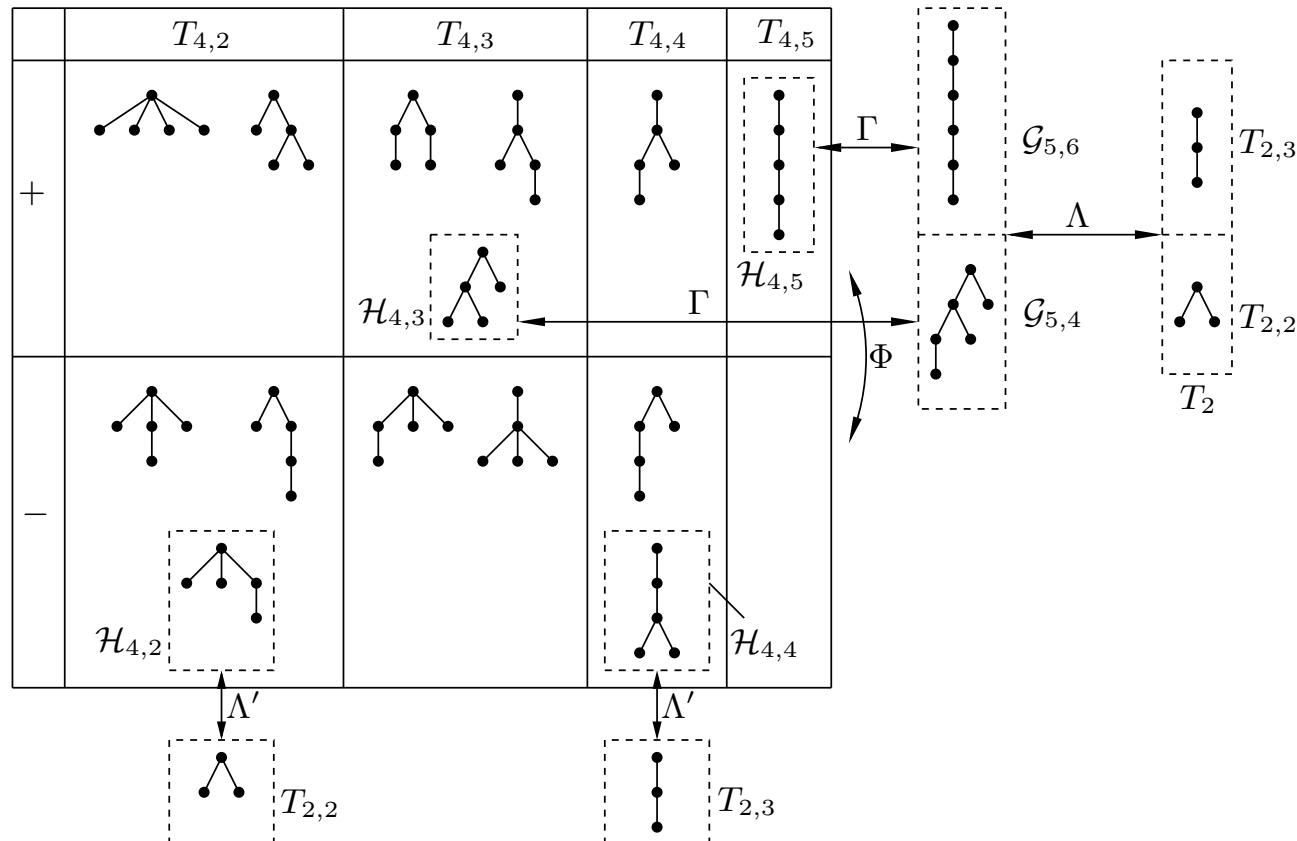
- Let us look the table again:

	$T_{5,2}$	$T_{5,3}$	$T_{5,4}$	$T_{5,5}$	$T_{5,6}$	
+						Φ
-						Λ <div style="display: flex; justify-content: space-around;"> <div style="text-align: center;"> $T_{2,3}$ </div> <div style="text-align: center;"> $G_{5,6}$ </div> <div style="text-align: center;"> $T_{2,2}$ </div> </div>

$$\sum_{T_5} (-1)^{\text{hsum}(T)} q^{\text{lmp}(T)} = (-1) \sum_{T_2} q^{2 \cdot \text{lmp}(T)}$$

Alt_{4n}(321) Sketch Proof

- Proof of Alt_{4n}(321):



$$\sum_{T_4} (-1)^{\text{hsum}(T)} q^{\text{lmp}(T)} = - \sum_{T_2} q^{2(\text{lmp}(T)-1)} + q \sum_{T_2} q^{2(\text{lmp}(T)-1)}$$

Sketch proof of $\text{Bax}_n(321)$

- Done by multivariable generating functions.
 - Let $b_n := b_n(t, p, q) = \sum_{\pi \in \text{Bax}_n(321)} t^{\text{maj}(\pi)} p^{\text{fix}(\pi)} q^{\text{des}(\pi)}$.
 - We are to prove $b_{2n+1}(-1, p, q) = p \cdot b_n(1, p^2, q^2)$.
- Very sketched proof:
 - $g_n := b_n(1, p, q)$
$$g_n = (2 + p)g_{n-1} - (1 + 2p - q)g_{n-2} + p \cdot g_{n-3}.$$
 - $h_n := b_n(-1, p, q)$
$$h_{2n+1} = (2 + p^2)h_{2n-1} - (1 + 2p^2 - q^2)h_{2n-3} + p^2 \cdot h_{2n-5}.$$
 - $h_{1/3/5}(p, q) = p \cdot g_{0/1/2}(p^2, q^2)$ QED.
- **Problem:** Is there a combinatorial proof?

Sketch proof of $\text{DS}_n(312)$

- Done by an involution on compositions of $[n]$.
- Composition of $[n]$ with $\text{DS}_n(312)$: [Eu et al, 2012]
 - $3 + 4 + 2 \longleftrightarrow 123|4567|89 \longleftrightarrow 312745698$

- We are to prove

$$\sum_{\pi \in \text{DS}_{2n+2}(312)} (-1)^{\text{maj}(\pi)} \cdot q^{\text{fix}(\pi)} = (-1 + q^2) \sum_{\pi \in \text{DS}_n(312)} q^{2\text{fix}(\pi)}.$$
$$\sum_{\pi \in \text{DS}_{2n-1}(312)} (-1)^{\text{maj}(\pi)} \cdot q^{\text{lead}(\pi)} = \frac{2}{q(1 + q^2)} \sum_{\pi \in \text{DS}_n(312)} q^{2\text{lead}(\pi)}.$$

- Too sketched proof:
 - Observer what maj , fix , lead means on compositions.
 - Design involutions (...not trivial...).

QED.



Part 5

Discussions

Naive believes were refuted over & over

- ...ARM appears only in $\mathfrak{S}_n(321)$?
→ NO, we have $\text{Alt}_n(321)$.
- ...ARM appears only in Catalan family?
...sign only controlled by inversion?
→ NO, we have $\text{Bax}_n(321)$.
- ...ARM appears only in 321-avoiding?
→ NO, we have $\text{DS}_n(312)$.
- ...If ARM appears, then $f(q)$ is a polynomial?
→ NO, $f(q) = \frac{2}{q(1+q^2)}$ in $\text{DS}_n(312)$.
- ...If ARM appears, then sign controlled by a Mahonian statistics?
→ NO, we have $(-1)^{\text{fix}}$ in $\text{DS}_n(312)$.

General Setting

- Conceptually, we are searching for

$$C_n, (\text{stat}_2, \text{stat}_2)$$

such that essentially the following holds:

$$\sum_{C_{2n}} (-1)^{\text{stat}_1} q^{\text{stat}_2} = f(q) \sum_{C_n} q^{2 \cdot \text{stat}_2},$$

where $f(q)$ is some rational polynomial.

- In this talk we present

- $\text{Alt}_n(321), (\text{inv}, \text{lead})$
- $\text{Bax}_n(321), (\text{maj}, \text{des})$
- $\text{DS}_n(312), (\text{maj}, \text{fix})$
- $\text{DS}_n(312), (\text{maj}, \text{lead})$



Are there others?

- Yes, we have about another 50^+ nontrivial results.
- E.g.
 - $\text{Alt}_n(321)$, (chg , ldes)
 - $\text{Alt}_n(321)$, (cochg , exc)
 - $\text{Bax}_n(321)$, (chg , des)
 - $\text{Bax}_n(321)$, (maj , fix)
 - $\text{DS}_n(231)$, (fix , end)
 - $\text{DS}_n(231)$, (maj , inv)
 - ...etc.
- However, ARM identities are relatively rare.
 - C_n , (stat_2 , stat_2) **seldom** produces ARM.



Discussions

- Encouraging:
 - We have a bunch of nontrivial identities.
- Disappointing:
 - Proofs are done case by case.
- Question: Why is there ARM type identities?
 - What is lurking behind?
 - Is there a unifying/systemetic approach?
 - Can it be generalized to, say, Coxter groups?
- We are working on these questions, with some progress.

Thanks

And....



Happy Birthday to Gerard!

Welcome any discussions and collaboration!